

# **SAN GABRIEL/POMONA** **REGIONAL CENTER**

## **NOTICE OF MEETING**

Notice is hereby given that the Board of Directors of the San Gabriel/Pomona Valleys Developmental Services, Inc. will hold their monthly Board meeting on the following date and at the listed location:

**DATE: Wednesday, May 26, 2021**

**TIME: 7:15 p.m.**

**PLACE: THE MEETING SESSION IS OPEN TO THE PUBLIC VIA VIDEOCONFERENCE.**

All SG/PRC Board and related Committee meetings will not be cancelled, however they will be temporarily adapted to video-conference to maintain distancing during the COVID-19 outbreak. All scheduling for such video-conferenced meetings will remain at their regularly scheduled times.

**Join Zoom Meeting:  
Meeting ID: 234 566 141  
Password: 916227**

The upcoming meeting will be convened via videoconference. Please check our website, [sgprc.org](http://sgprc.org) to access the videoconference link.

75 Rancho Camino Drive, Pomona, CA 91766  
(909) 620-7722

Program of San Gabriel/Pomona Valleys Developmental Services, Inc.

**S**AN GABRIEL/POMONA  
 REGIONAL CENTER  
 75 Rancho Camino Drive  
 Pomona, CA 91766

MEETING AGENDA  
**BOARD OF DIRECTORS MEETING**  
 (Meets 4<sup>th</sup> Wednesday of each Month)

Wednesday, May 26, 2021 at 7:15 p.m.  
**Videoconference Meeting**

**BOARD OF DIRECTORS**

<b>Gisele Ragusa, Board President</b>	<b>Sheila James 1<sup>st</sup> Vice President</b>
<b>Julie Chetney, 2<sup>nd</sup> Vice President</b>	<b>Shannon Hines, Secretary</b>
<b>Mary Soldato, Treasurer</b>	<b>David Bernstein, VAC Chairperson</b>
<b>Georgina Molina</b>	<b>Preeti Subramaniam</b>
<b>Daniel Rodriguez</b>	<b>John Randall (LOA)</b>
<b>Natalie Webber</b>	<b>Penne Fode</b>
<b>Sherry Meng</b>	<b>Julie Lopez</b>

		ACTION	MATERIAL	COLOR
<b>7:15 - 7:25</b>	<b>CALL TO ORDER</b> (Gisele Ragusa, President)	None	None	None
	• <b>Roll Call &amp; Introduction of Salvador Gonzales</b>	<b>Quorum</b>	<b>None</b>	<b>None</b>
	• <b>Review Agenda</b>	Info	Attached	White
	• <b>Minutes of March 24, 2021 and April 28, 2021</b>	<b>Consent</b>	<b>Attached</b>	<b>White</b>
<b>7:25 – 7:30</b>	GENERAL PUBLIC INPUT	Info	None	None
<b>7:30 – 7:50</b>	<b>Special Discussion:</b> Housing and Accommodations for Individuals Living Independently	Info	None	None
<b>7:50 – 8:00</b>	EXECUTIVE/FINANCE COMMITTEE (Gisele Ragusa, Anthony Hill & Roy Doronila) Financial Report	<b>Action</b>	Attached	Ivory
<b>8:00 – 8:05</b>	COMMUNITY RELATIONS/LEGISLATIVE COMMITTEE (Daniel Rodriguez)	Info	Attached	Orange
<b>8:05 – 8:10</b>	CLIENT SERVICES/ADVISORY COMMITTEE (Shannon Hines and Sheila James) <b>Behavioral Intervention Policies</b>	Info	Attached	Yellow
<b>8:10 – 8:15</b>	VENDOR ADVISORY COMMITTEE (David Bernstein)	Info	Attached	Goldenrod

APPROXIMATE SCHEDULE	ITEM	ACTION	MATERIAL	COLOR
8:15 – 8:20	STRATEGIC DEVELOPMENT COMMITTEE (Julie Chetney)	Info	Attached	Green
8:25– 8:35	NOMINATING COMMITTEE (Julie Chetney) <ul style="list-style-type: none"> <li>• <b>2<sup>nd</sup> TERM DIRECTOR - NOTICE</b> Pursuant to Bylaws, Sections 6.01&amp; 7.01 Notice of the intent to conduct an election to confirm 2<sup>nd</sup> term Directors at the May 26, 2021 meeting for the following Board Director:               <ul style="list-style-type: none"> <li>- Daniel Rodriguez</li> </ul> </li> <li>• <b>NOMINATING COMMITTEE - NOTICE</b> Pursuant to Bylaws, Section 15.04 &amp; 15.05 Notice of the intent to conduct an election at the May 26, 2021 meeting for the following Proposed Slate of Officers, Fiscal Year 2021-2022               <ul style="list-style-type: none"> <li>- Board President: Penne Fode</li> <li>- 1<sup>st</sup> Vice President: Sheila James</li> <li>- 2<sup>nd</sup> Vice President: Shannon Hines</li> <li>- Treasurer: Bill Stewart</li> <li>- Secretary: Anabel Franco</li> </ul> </li> </ul>	Action	None	None
8:35 -8:40	BOARD PRESIDENT'S REPORT (Gisele Ragusa) -ARCA Board Delegate Update	Info	None	None
8:40– 8:50	EXECUTIVE DIRECTOR'S REPORT (Anthony Hill, Executive Director) <ul style="list-style-type: none"> <li>• ARCA Update</li> <li>• COVID 19 Update               <ul style="list-style-type: none"> <li>Critical functions/Remote Workforce</li> <li>1. COVID-19 Testing Clinic</li> <li>2. COVID-19 Vaccine Clinic</li> <li>3. COVID-19 Vaccine Data</li> <li>4. SG/PRC/COVID-19 Data</li> <li>5. Re-engagement of Services</li> </ul> </li> </ul>	Info	None	None
8:50	OTHER BOARD & COMMUNITY ANNOUNCEMENTS	Info	None	None
<b><u>ADJOURNMENT OF THE BOARD OF DIRECTORS MEETING</u></b>				
8:55	EXECUTIVE SESSION - Bylaws	Info	None	None

**SAN GABRIEL/POMONA REGIONAL CENTER  
DEVELOPMENTAL SERVICES, INC.  
BOARD OF DIRECTORS  
DRAFT Minutes of the Meeting of the Board of Directors  
(A California Corporation)**

**March 24, 2021**

**ATTENDANCE**

The following members of the Board of Director's were present at said meeting:

**PRESENT:**

Gisele Ragusa  
Julie Chetney  
David Bernstein  
Shannon Hines  
Natalie Webber  
Georgina Molina  
Penne Fode  
Mary Soldato  
David Bernstein  
Daniel Rodriguez

**STAFF:**

Anthony Hill, Executive Director  
Lucina Galarza, Director of Community Services  
Joe Alvarez, Associate Director of Clinical  
Services  
Daniela Santana, Director of Client  
Services  
Roy Doronila, Chief Financial Officer  
Tina Luceno, Fiscal Analyst  
Yvonne Gratianne, Manager of Communications &  
Public Relations  
Willanette Satchell, Exec. Assistant in  
Management  
Erika Gomez, Exec. Assistant BOD

**GUESTS:**

Michelle Nelson  
Kristel Maikranz  
Cathy Gott  
Jacqueline Gaytan, DDS  
Bruce Cruikshank  
Elizabeth Cuevas  
Jim G  
Joseph Huang  
Julie Larose  
Debbie Cornejo  
Mark Altieri  
Nathan Roettger  
Tamra Pauly  
Sofia Cervantes, SCDD

**ABSENT:**

John Randall (LOA)  
Julie Lopez



Preeti Subramaniam  
Sheila James  
Sherry Meng

**A. CALL TO ORDER:**

Gisele Ragusa, Board President, called the meeting to order at 7:19 p.m. Roll call was taken, and a quorum was established.

- The agenda was reviewed. The bylaws discussion was tabled. Penne Fode will give the Community Relations Committee update as Daniel Rodriguez was not present at the meeting.

- The minutes for the January 27, 2021 meeting were reviewed and approved by the Board.

**M/S/C (Chetney & Soldato) The Board approved the minutes.**

**Abstain: Rodriguez**

- The minutes for the February 24, 2021 meeting were reviewed and approved by the Board.

**M/S/C (Molina & Fode) The Board approved the minutes.**

**B. PUBLIC INPUT:**

None

**C. SPECIAL TRAINING:**

“Tips for Reviewing Audited Financial Statements”

Kristel Maikranz, CPA, Principal AGT, reported the following information:

- Explanation of what Financial Statements are
- Steps to take when reviewing Financial Statements
- The breakdown of an auditor’s report
- The breakdown of a balance sheet
- The breakdown of an income statement
- The breakdown of statement of cashflow
- The breakdown of notes to the financial statement

**D. SPECIAL DISCUSSION:**

“Self Determination – Education Spectrum”

Cathy Gott spoke about the following:

- Promoting partnerships and collaborations

- Coaches and representatives of the catchment area
  - Public partnerships
  - Education Spectrum Self Determination coaching
  - California Self Determination Program network timeline
  - Contact information
- A Self Determination testimonia video was also shared.

## **E. EXECUTIVE/FINANCE COMMITTEE**

### **Financial Report**

- In regional center operations, and based on the B-2 contract amendment, the allocation is projected to meet expenditure projections. The projections include estimated cost of wage enhancements, vacation buyouts and continuation of projects identified in the prior year. The operations allocation for fiscal year 2020-21 is currently at \$32,144,211 with projected expenditures of \$31,967,912. The current month's expenditure amounted to \$3,487,737 and the year-to-date expenditures is \$18,272,494, with projected remaining expenditures of \$13,695,418. This reflects a surplus of \$176,300 in regular operations.
- The Family Resource Center allocation is projected to meet expenditure projections, resulting in a zero-balance remaining in allocation. The current allocation is \$154,564 with projected expenditures of \$154,564.
- The Lanterman Foster Grandparent/Senior Companion program has a current allocation in the amount of \$1,209,748, staff expect to spend the full amount. The Fairview program is included in this amount. The Community Placement Plan (CPP) and DC ongoing Workload operations allocation is currently allocated at \$1,688,719. Expenditures for the year are projected to be within the allocated amount.
- The Purchase of Service Projections were based on the B-2 contract amendment and actual expenditures through February 18, 2021 claim. The B-2 POS allocation is at \$271,307,068. The current month's claim amounted to \$22,918,499 bringing the year-to-date expenditures for services to

\$147,658,749. Projected expenditures and late bills remaining are in the amount of \$128,837,482 leaving a deficit of \$5,189,163. Staff expect to be funded in full in future allocations. POS expenses directly related to COVID19 are included in this projection under the other items section. CPP POS is in a separate line item and projected to be within the allocated amount.

**F. COMMUNITY RELATIONS/LEGISLATIVE COMMITTEE**

Daniel Rodriguez shared that at the meeting in February, the committee heard the Community Outreach Report and got an Covid 19 update.

Because Mr. Rodriguez was not present at the meeting in March, Penne Fode shared that the committee heard the Community Outreach Report as well as an update on the Governor's Budget and a Covid 19 update.

**G. CLIENT SERVICES ADVISORY COMMITTEE**

Shannon Hines reported that the committee received the second part of the special presentation on Self Determination in February. Tonight, before this meeting, the committee was presented information on mental health.

**H. VENDOR ADVISORY COMMITTEE (VAC)**

David Bernstein shared that the provider community lost a longtime service provider, Jay Bhavsar. He also reported that the Vocational Subcommittee will meet tomorrow and will focus their discussions on re-engagement. Dr. Tomblin did a presentation at the March meeting on Disparity Data. Lastly, he shared that SG/PRC continues to hold weekly Monday meetings to provide Covid 19 updates and support to the vendor community.

**I. STRATEGIC DEVELOPMENT COMMITTEE**

Julie Chetney reported that recruiting efforts are ongoing; she attended a weekly community meeting with the purpose to encourage interest in Board and Committee participation from individuals served and families. The Committee continues to review the Board Strategic Timeline and have decided to meet on a separate-day to further discuss this item. Lastly, next month the members will meet as the Nominating Committee to talk about the slate of officers for Fiscal Year 21/22.

## **J. BOARD OVERVIEW**

Dr. Gisele Ragusa shared the following information:

- ARCA Board Delegate Update – There was a recent ARCA Board Delegates meeting. A video was created with the purpose of showing how regional centers have responded during this time of Covid 19. She forwarded this email to the Board of Directors. Also, she informed the members that all the trainings conducted by ARCA are archived on their website.
- Board Bylaws – This item was tabled.

## **K. EXECUTIVE DIRECTOR'S REPORT:**

Anthony Hill, Executive Director, discussed the following:

- ARCA held a meeting last Friday.
  - ARCA issued a letter supporting AB-445 to change language in the Lanterman Act that would end the requirement for regional centers to request sensitive information from parents such as their social security number.
  - ARCA is working to get someone to sponsor a bill that would allow for regional centers to get the \$55 million needed to hire more Service Coordinators to be able to meet the caseload ratios requirement.
  - ARCA continues to advocate for the termination of the Holiday Closure Schedule.

Mr. Hill and staff provided a quick update regarding the response to Covid 19:

- Intake and Early Start assessments are operating fully
- Vaccine Place of Distribution-
  - SG/PRC will become a testing site. The soft rollout is scheduled for April 7, 2021.
  - Los Angeles County will use SG/PRC as a vaccine site on April 8, 2021.
  - Staff are working with Albertson's Pharmacy for the possibility of hosting a vaccine clinic.
  - Staff are working with Western University; they will provide links a couple of days before being released to LA county.

- Staff have asked Cal Poly for prioritization with vaccine links and have offered SG/PRC to be vaccination site.
- COVID -19 Report – Joe Alvarez provided individual stats on COVID-19; spoke about the trends of positive and negative cases.

Lastly, staff presented a video of the completed mural inside the building where children and their family will be able to live their handprints. A video was also shown as a recap of the virtual 80's dance party that was held.

**EXECUTIVE SESSION**

None

**Next meeting on Wednesday, April 28, 2021 at 7:15 p.m.**

**BOARD MINUTES FROM THE MARCH 24, 2021 MEETING**

Submitted by:

\_\_\_\_\_  
Gisele Ragusa, Board President

\_\_\_\_\_  
Date

**SAN GABRIEL/POMONA REGIONAL CENTER  
DEVELOPMENTAL SERVICES, INC.  
BOARD OF DIRECTORS  
DRAFT Minutes of the Meeting of the Board of Directors  
(A California Corporation)**

**April 28, 2021**

**ATTENDANCE**

The following members of the Board of Director's were present at said meeting:

**PRESENT:**

Gisele Ragusa  
Julie Chetney  
David Bernstein  
Shannon Hines  
Natalie Webber  
Georgina Molina  
Penne Fode  
Mary Soldato  
David Bernstein  
Julie Lopez  
Sheila James  
Preeti Subramaniam

**STAFF:**

Anthony Hill, Executive Director  
Lucina Galarza, Director of Community Services  
Joe Alvarez, Associate Director of Clinical  
Services  
Daniela Santana, Director of Client  
Services  
Roy Doronila, Chief Financial Officer  
Raquel Sandoval, Director of Human  
Resources  
Willanette Satchell, Exec. Assistant in  
Management  
Erika Gomez, Exec. Assistant BOD

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**GUESTS:**

Jacqueline Gaytan, DDS  
Bruce Cruikshank  
Joseph Huang  
Herminio Maximo  
Vanessa Chay

**ABSENT:**

John Randall (LOA)  
Daniel Rodriguez  
Sherry Meng

**A. CALL TO ORDER:**

Gisele Ragusa, Board President, called the meeting to order at 7:16 p.m. Roll call was taken, and a quorum was established.

- The agenda was reviewed, and Executive Session was added regarding "Personnel Matter.



**B. PUBLIC INPUT:**

None

**C. SPECIAL TRAINING:**

Raquel Sandoval, Director of Human Resources, introduced Marilyn Irvine, Ph.D., Executive Management Consultants, who presented “**Effective Communication.**”

The following was addressed:

- Learning Objectives
- Consequences of Ineffective Communication
- Benefits of Effective Communication
- Building Positive Professional Relationships
- Board Member Responsibilities
- Improving Effective Communication between Board Members and with the ED
- What Gets Communicated
- Enhancing Mutual Understanding
- Guidelines for Effective Communication
- Barriers to Effective Interpersonal Communication
- Common Pitfalls in Addressing Issues
- Deliver Feedback in a Skillful Manner
- The Process of Effective Listening
- Enhancing Your Active Listening Skills
- Why Does Conflict Happen
- What Influences How we Manage Workplace Conflict?
- Conflict Resolution Strategies
- Commitments for Success

**D. EXECUTIVE/FINANCE COMMITTEE**

**Contracts Review** - Presented by Lucina Galarza, Director of Community Services

- *Insight for Life*

The Board reviewed the contracts based on their policy for contracts over \$250,000.

**M/S/C (Hines & Soldato) The Board approved the contract.**

**Abstain: Bernstein**

**E. STRATEGIC DEVELOPMENT COMMITTEE**

Julie Chetney reported that the committee, along with Sheila James, interviewed the following individuals and recommend them to the Board for membership

consideration. If approved, the membership would be effective July 1, 2021.

- Anabel Franco  
**M/S/C (Fode & Soldato) The Board approved the membership recommendation.**
- Bill Stewart
- **M/S/C (Fode & Berstein) The Board approved the membership recommendation.**

#### **F. NOMINATING COMMITTEE**

##### **2<sup>ND</sup> TERM DIRECTORS – NOTICE:**

This is a notice of the intent to conduct an election to confirm 2<sup>nd</sup> term Directors at the May 26, 2021 meeting.

*Pursuant to Bylaws, Sections 6.01 & 7.01 Directors standing for re-election shall be voted on at the Annual meeting of the Board of Directors of the Corporation. Nominations for the first term Directors made pursuant to Article 21.03 can be acted on by the Board at the annual, special or regular meeting when a voting quorum is present. A majority of the votes cast shall elect a Director to the Board*

The following Director will end their first term on the Board on June 30, 2021. Therefore, the Board must vote for the following individuals to continue on to a second term on the Board of Directors starting July 1, 2021:

-Daniel Rodriguez

##### **ELECTION – SLATE OF OFFICERS**

Julie Chetney reported on behalf of the Nominating Committee the following Proposed Slate of Officers to the Board of Directors for Fiscal Year 21/22:

- Board President: Penne Fode
- 1<sup>st</sup> Vice President: Sheila James
- 2<sup>nd</sup> Vice President: Shannon Hines
- Treasurer: Bill Stewart
- Secretary: Anabel Franco

**An alternate may be submitted in accordance to Bylaws, Section 15.04:**  
*A Director may be nominated for an office only by the Nominating Committee in accordance with Section 21.03 or by written nominations that is signed by at least one Director, that gives the names of a total of five Directors who support the nomination, and that is submitted to the Executive Director at least 10 days before the election is to be held. The Executive Director, upon receiving a in writing by first class mail or by personal deliver, identifying the Director so nominated, the office for which she or he has been nominated, and the Director who signed or supported the nominations.*

**EXECUTIVE SESSION**

Personnel Matter, Legal Issue, Client Issue

**Next meeting on Wednesday, May 26, 2021 at 7:15 p.m.**

**BOARD MINUTES FROM THE APRIL 28, 2021 MEETING**

Submitted by:

\_\_\_\_\_  
Gisele Ragusa, Board President

\_\_\_\_\_  
Date

**S**AN GABRIEL/POMONA  
REGIONAL CENTER

# Committee Reports & Information



April – May 2021

**SAN GABRIEL/POMONA VALLEYS  
DEVELOPMENTAL SERVICES, INC.  
Executive/Finance Committee Meeting Minutes**

**May 12, 2021**

**PRESENT**

Gisele Ragusa, President  
Sheila James, 1<sup>st</sup> VP  
Julie Chetney, 2<sup>nd</sup> VP  
Shannon Hines, Secretary  
Natalie Webber, Director

**GUESTS:**

Michelle Nelson

**STAFF:**

Anthony Hill, Executive Director  
Lucina Galarza, Director of Community Services  
Roy Doronila, Chief Financial Officer  
Daniela Santana, Director of Client Services  
Joe Alvarez, Director of Clinical Services  
Willanette Steward-Satchell, Exec. Asst. (Mgmt.)  
Erika Gomez, Executive Assistant - BOD

**ABSENT:**

Daniel Rodriguez, Director  
Mary Soldato, Treasurer

**ACTIONS TAKEN BY THE EXECUTIVE/FINANCE COMMITTEE  
PURSUANT TO SECTION 20.04 OF THE BYLAWS**

All actions taken by the Executive/Finance Committee on behalf of the Board of Directors shall be reported at the next meeting of the Board. The actions taken by the Executive/ Finance Committee at this meeting were:

**Approval of Financial Report-** For the month of March 2021, for services paid through April 19, 2021.

**ITEMS DISCUSSED**

**A. CALL TO ORDER**

Sheila James, Board 1<sup>st</sup> Vice President, called the meeting to order at 7:18 pm. A quorum was established.

The committee reviewed the agenda.

The committee reviewed and approved the minutes of the April 14, 2021 meeting. **(M/S/C Chetney & Webber) The committee approved the minutes.**

**B. PUBLIC INPUT:**

None

**C. FINANCIAL REPORT**

Roy Doronila, Chief Financial Officer, reported on the following:

**Financial Report**

In regional center operations, the allocation based on the B2 Amendment is projected to meet expenditure projections. Projections include estimated cost of wage enhancements, vacation buyouts and continuation of projects identified in the prior year.

The operations allocation for fiscal year 2020-21 is currently at \$32,144,211 with projected expenditures of \$31,848,071. The current month's expenditure amounted to \$1,479,614 and the year-to-date expenditures is \$22,330,693, with projected remaining expenditures of \$9,517,378. This reflects a surplus of **\$296,140** in regular operations.

The Family Resource Center allocation is projected to meet expenditure projections, resulting in a zero-balance remaining in allocation. The current allocation is \$154,564 with projected expenditures of \$154,564.

The Lanterman Foster Grandparent/Senior Companion program has a current allocation in the amount of \$1,209,748, staff expect to spend the full amount. The Fairview programs is included in this amount. The Community Placement Plan (CPP) and DC ongoing Workload operations allocation is currently allocated at \$1,688,720. Expenditures for the year are projected to be within the allocated amount.

The Purchase of Service Projections were based on the B-2 contract amendment and actual expenditures through April 19, 2021 claim. The B-2 POS allocation is at \$271,307,068. The current month's claim amounted to \$24,168,936 bringing the year-to-date expenditures for services to \$194,248,931. Projected expenditures and late bills remaining are in the amount of \$83,401,990 leaving a deficit of **\$6,343,853**. Staff expect to be funded in full in future allocations. POS expenses directly related to COVID19 are included in this projection under the other items section. CPP POS is in a separate line item and projected to be within the allocated amount.

**Authorization - President signature for FY 18-19 E5 Contract Amendment (M/S/C Hines & Webber)** The committee approved the President's signature on the E5 Contract Amendment.

**Authorization - Executive Director to renew the Line of Credit for FY 21-22 with City National Bank**  
(M/S/C Chetney & Hines) The committee approved the renewal of the Line of



**Credit for FY 21-22 with City National Bank.**

**D. CONTRACTS FOR REVIEW**

Joe Alvarez, Director of Clinical Services, presented the following contract:

- Columbus  
**(M/S/C – Chetney & Webber) The committee approved the recommendation to the Board for its review of this contract.**

Lucina Galarza, Director of Community Services presented the following contracts:

- Brilliant Corners  
**(M/S/C – Chetney & Hines) The committee approved the recommendation to the Board for its review of this contract.**
- Wade Melvin Banner
- **(M/S/C – Hines & Webber) The committee approved the recommendation to the Board for its review of this contract.**

**E. BOARD OVERVIEW**

Sheila James reported on behalf of the Board President, Dr. Gisele Ragusa on the following:

- Board Bylaws – The members were sent another revision of the Bylaws. There will be a closed session at the Board meeting to discuss them, which will be the first of two meetings.
- Agenda for the May 26, 2021 Board Meeting:
  - The next special discussion topic will be housing and accommodations for independent living.
  - Elections and voting of Slate officers for FY 21/22
- Agenda items for the June 9, 2021 Executive Finance Committee meeting:
  - Financial Report
  - Covid-19
  - Bylaws

**F. COVID-19 UPDATE:**

Anthony Hill, Executive Director, and staff reported the following regarding Covid-19:

- ARCA Update – There was a legislative inquiry regarding Service Coordinators and the languages spoken. Of all the regional centers, SG/PRC has the most language coverage.
- Service Provider Attestation – PPP – DDS issued a directive that calls for attestation for payments for nonresidential services during the Covid-19 State of Emergency. If a provider received a PPP loan and was forgiven for the same expenses that they claimed from regional center, they are required to

- pay it back. Most of providers are concerned because most loans were forgiven and now, they will have to repay.
- Recruitment/Selection of Director Community Outreach/Compliance – Salvador Gonzales is the new Director of Community Outreach/Compliance. He will be introduced to the Board at the next meeting.
  - Self-Determination Update – ARCA is asking for investment in this program as Service Coordinators need to learn it and have specialized service coordination for it. Self-Determination will be available to everyone interested starting on June 7, 2021. Communications to the community about the program will be sent. Partnership with Education Spectrum helped develop the curriculum for staff and families. The trainings are tailored to specific needs.
  - Directors' Trainings (May 2021) Building/Trust & Autonomy in Teams – The Director group is undergoing a training that started last Monday with speakers from across the country. There are two more weeks of the four-week process. The Directors present shared that they are finding the training very valuable and even relaxing with the techniques they are learning.
  - Remote Workforce Adjustments – 20% of staff are back in the office. Fiscal, IT and administrative staff returned on May 10, 2021. The leadership team will return next week. Service Coordinators return next week, once a week. The number of days that staff are required to return will increase with each phase.
  - Intake and Eligibility-SG/PRC continues to offer full scope - in person intake and eligibility. Referrals numbers are going back up to pre pandemic numbers. There are more EI clinics on site as well.
  - Daniela Santana shared the data collected of individuals served who have been vaccinated. Individuals served are not required to get vaccinated and service providers cannot refuse to serve those who are not vaccinated.
  - SG/PRC is a testing site every Wednesday and will expand to test 3 days a week starting in June.
  - SG/PRC hosted another vaccine clinic on May 6, 2021. Another vaccine clinic is scheduled for May 27, 2021.
  - Joe Alvarez provided individual stats on COVID-19; spoke about the downward trend, presented information on the DDS report.

### **MEETING ADJOURNED**

The meeting adjourned. The next regular meeting will be held on June 9, 2021 at 7:15 p.m. via teleconference.

### **CLOSED SESSION**

None

# San Gabriel Pomona Regional Center

To: The Board of Directors  
From: Roy Doronila, CFO  
Date: May 7, 2021  
Subject: Financial Report Notes

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Attached, for your review and approval are the Draft Copies of the Operations and Purchase of Services monthly financial reports for the month of March 2021, for services paid through April 19, 2021. These will be presented at the Executive Finance Committee meeting Wednesday evening, May 12, 2021.

In regional center operations, the allocation based on the B2 Amendment is projected to meet expenditure projections. Projections include estimated cost of wage enhancements, vacation buyouts and continuation of projects identified in the prior year. Our operations allocation for fiscal year 2020-21 is currently at \$32,144,211 with projected expenditures of \$31,848,071. The current month's expenditure amounted to \$1,479,614 and our year-to-date expenditures is \$22,330,693, with projected remaining expenditures of \$9,517,378. This reflects a surplus of **\$296,140** in regular operations.

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SAN GABRIEL/POMONA REGIONAL CENTER  
**PURCHASE OF SERVICES FUND FINANCIAL REPORT**

FISCAL YEAR 2020-21

PAYMENTS THROUGH APRIL 19, 2021 FOR SERVICES PROVIDED THROUGH MARCH 31, 2021

75.0% OF YEAR ELAPSED

**DRAFT  
 COPY**

CONTRACT ALLOCATIONS	Regular POS	CPP	HCBS	Total			
Preliminary Allocation (Regular POS)	200,879,483			200,879,483			
B-1 Allocation	70,427,585	100,000		70,527,585			
B-2 Allocation		800,069		800,069			
				0			
				0			
<b>Total Contract Allocation</b>	<b>271,307,068</b>	<b>900,069</b>	<b>0</b>	<b>272,207,137</b>			
				C plus E			
	A	B	C	D	E	F	G
		Current Month Expenditures	Year-to-Date Expenditures	YTD Actual as percent of Allocation	Projected Remaining Expenditures	Total Projected Expenditures	YTD & Projected as percent of Allocation
<b>Total POS Actual &amp; Projected Expenditures</b>		<b>24,333,599</b>	<b>194,413,952</b>	<b>71.4%</b>	<b>84,137,038</b>	<b>278,550,990</b>	<b>102.3%</b>
<b>OUT OF HOME CARE</b>							
Community Care Facilities		8,853,439	70,893,180	26.1%	28,206,843	99,100,023	36.5%
ICF/SNF Facilities		8,671	125,617	0.0%	149,264	274,881	0.1%
<b>Total Out of Home Care</b>		<b>8,862,109</b>	<b>71,018,798</b>	<b>26.2%</b>	<b>28,356,107</b>	<b>99,374,904</b>	<b>36.6%</b>
<b>DAY PROGRAMS</b>							
Day Care		1,679,844	9,051,540	3.3%	4,912,513	13,964,053	5.1%
Day Training		4,251,868	37,341,590	13.8%	14,447,459	51,789,049	19.1%
Supported Employment		250,381	3,349,605	1.2%	2,548,298	5,897,904	2.2%
Work Activity Program		73,780	1,156,707	0.4%	1,072,973	2,229,681	0.8%
<b>Total Day Programs</b>		<b>6,255,873</b>	<b>50,899,443</b>	<b>18.8%</b>	<b>22,981,243</b>	<b>73,880,686</b>	<b>27.2%</b>
<b>OTHER SERVICES</b>							
Non-Medical: Professional		649,556	5,292,132	2.0%	2,425,111	7,717,243	2.8%
Non-Medical: Programs		1,125,871	10,878,000	4.0%	6,755,029	17,633,029	6.5%
Home Care: Programs		288,896	1,888,609	0.7%	995,663	2,884,272	1.1%
Transportation		513,848	4,701,197	1.7%	1,908,949	6,610,145	2.4%
Transportation Contracts		358,166	4,885,116	1.8%	2,081,691	6,966,807	2.6%
Prevention		1,084,661	8,422,782	3.1%	3,342,461	11,765,243	4.3%
Other Authorized Services		1,137,352	10,599,556	3.9%	4,657,515	15,257,071	5.6%
Personal and Incidentals		12,395	115,700	0.0%	43,680	159,380	0.1%
Hospital Care		114,052	1,074,730	0.4%	637,537	1,712,266	0.6%

SAN GABRIEL/POMONA REGIONAL CENTER  
**PURCHASE OF SERVICES FUND FINANCIAL REPORT**

FISCAL YEAR 2020-21

PAYMENTS THROUGH APRIL 19, 2021 FOR SERVICES PROVIDED THROUGH MARCH 31, 2021

75.0% OF YEAR ELAPSED

**DRAFT  
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	C plus E					G	
	A	B	C	D	E		F
		Current Month Expenditures	Year-to-Date Expenditures	YTD Actual as percent of Allocation	Projected Remaining Expenditures	Total Projected Expenditures	YTD & Projected as percent of Allocation
Medical Equipment		1,223	18,087	0.0%	15,745	33,832	0.0%
Medical Service: Professional		220,837	1,217,862	0.4%	833,733	2,051,595	0.8%
Medical Service: Programs		80,346	1,049,579	0.4%	450,142	1,499,721	0.6%
Respite: In Own Home		1,654,905	10,739,114	4.0%	2,331,832	13,070,946	4.8%
Respite: Out of Home		0	3,243	0.0%	8,645	11,888	0.0%
Camps		0	240	0.0%	0	240	0.0%
Total Other Services		7,242,107	60,885,946	22.4%	26,487,733	87,373,679	32.2%
Total Estimated Cost of Current Services		22,360,089	182,804,186	67.4%	77,825,083	260,629,269	96.1%
<u>OTHER ITEMS</u>							
Estimated Cost of COVID19 expenses		2,161,645	15,516,868	5.7%	7,304,783	22,821,652	8.4%
Total Other Items		2,161,645	15,516,868	5.7%	7,304,783	22,821,652	8.4%
Total Purchase of Services		24,521,734	198,321,055	73.1%	85,129,866	283,450,921	104.5%
Deduct: Estimated Receipts from Intermediate Care Facilities for State Plan Amendment Services		(352,797)	(4,072,123)	-1.5%	(1,727,877)	(5,800,000)	-2.1%
<b>Expenditures Regular POS (Net of CPP)</b>	<b>271,307,068</b>	<b>24,168,936</b>	<b>194,248,931</b>	<b>71.6%</b>	<b>83,401,990</b>	<b>277,650,921</b>	<b>102.3%</b>
<b>Projected Allocation Balance (Deficit) Regular POS</b>						<b>(6,343,853)</b>	<b>-2.3%</b>
<u>COMMUNITY PLACEMENT PLAN</u>							
Community Placement Plan (inc. CRDP)	900,069	164,663	165,021	18.3%	735,048	900,069	100.0%
<b>Allocation Balance (Deficit) CPP and HCBS</b>						<b>0</b>	<b>0.0%</b>
<b>Total Projected Allocation Balance (Deficit) Regular &amp; Community Placement Plan POS</b>						<b>(6,343,853)</b>	<b>-2.3%</b>



SAN GABRIEL/POMONA REGIONAL CENTER  
**OPERATIONS FUND FINANCIAL REPORT**

FISCAL YEAR 2020-21

PAYMENTS THROUGH APRIL 19, 2021 FOR SERVICES PROVIDED THROUGH MARCH 31, 2021

75.0% OF YEAR ELAPSED

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	Regular	CPP/CRDP DC Ongoing	Family Resource Center	Foster Grandparent Senior Companion	Covid	Total			
<b>CONTRACT ALLOCATIONS</b>									
Preliminary Allocation	25,975,869					25,975,869			
B-1 Allocation	5,698,975	889,472	154,564	1,209,748		7,952,759			
B-2 Allocation	386,367	799,248				1,185,615			
B-3 (estimated)	83,000					83,000			
						0			
						0			
<b>Total Operations Contract Allocation</b>	<b>32,144,211</b>	<b>1,688,720</b>	<b>154,564</b>	<b>1,209,748</b>	<b>-</b>	<b>35,197,243</b>			
	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>	<b>F</b>	<b>D plus F</b>	<b>A minus G</b>	
	<b>Current Allocation</b>	<b>% of Allocation</b>	<b>Current Month Expenditures</b>	<b>Year-to-Date Expenditures</b>	<b>YTD Actual as % of Allocation</b>	<b>Projected Remaining Expenditures</b>	<b>Total Projected Expenditures</b>	<b>Projected Balance Remaining Amount</b>	<b>Percent</b>
<b>Total Operations - Actual and Projected Expenditures</b>	<b>35,197,243</b>	<b>100.00%</b>	<b>2,498,221</b>	<b>24,359,183</b>	<b>69.2%</b>	<b>10,541,919</b>	<b>34,901,102</b>	<b>296,140</b>	<b>0.84%</b>
<b>PERSONAL SERVICES (REGULAR OPERATIONS)</b>									
Salaries	22,166,667	68.96%	902,459	15,362,968	47.8%	6,744,033	22,107,001	59,666	0.19%
Temporary Staff	13,791	0.04%	0	12,203	0.0%	0	12,203	1,589	0.00%
Retirement ( includes 403B)	2,790,583	8.68%	114,947	1,924,445	6.0%	788,365	2,712,810	77,773	0.24%
Social Security (OASDI)	160,986	0.50%	12,753	89,777	0.3%	51,627	141,404	19,582	0.06%
Health Benefits/Long Term Care	1,966,183	6.12%	124,654	1,592,697	5.0%	319,407	1,912,105	54,079	0.17%
Worker's Comp Insurance	194,618	0.61%	1,273	115,021	0.4%	54,316	169,337	25,281	0.08%
Unemployment Insurance	50,000	0.16%	0	23,245	0.1%	23,245	46,490	3,510	0.01%
Non-Industrial Disability/Life Insurance	110,833	0.34%	907	72,264	0.2%	24,088	96,352	14,481	0.05%
Clinical Consultants - Consumer Services	0	0.00%	0	0	0.0%	0	0	0	0.00%
<b>Total Personal Services (Regular Operations)</b>	<b>27,453,661</b>	<b>85.41%</b>	<b>1,156,993</b>	<b>19,192,620</b>	<b>59.7%</b>	<b>8,005,081</b>	<b>27,197,701</b>	<b>255,960</b>	<b>0.80%</b>
<b>OPERATING EXPENSES (REGULAR OPERATIONS)</b>									
Equipment Rental	62,530	0.19%	11,869	55,022	0.2%	5,002	60,024	2,506	0.01%
Equipment Maintenance	22,000	0.07%	1,320	17,764	0.1%	3,553	21,317	683	0.00%
Facility Rent	2,628,000	8.18%	219,000	2,190,000	6.8%	438,000	2,628,000	0	0.00%
Facility Maintenance	15,000	0.05%	330	3,616	0.0%	1,205	4,821	10,179	0.03%
Communications (postage, phones)	341,000	1.06%	26,097	171,531	0.5%	163,377	334,908	6,092	0.02%
General Office Expense	300,000	0.93%	27,920	127,496	0.4%	172,499	299,994	6	0.00%
Printing	12,000	0.04%	1,328	8,726	0.0%	2,909	11,635	365	0.00%
Insurance	220,000	0.68%	0	219,168	0.7%	0	219,168	832	0.00%
Data Processing	120,000	0.37%	6,216	46,582	0.1%	70,527	117,110	2,890	0.01%
Data Processing Maintenance / Licenses	329,000	1.02%	9,276	176,054	0.5%	151,166	327,219	1,781	0.01%
Interest Expense	18,000	0.06%	0	15,285	0.0%	0	15,285	2,715	0.01%
Bank Service Fees	1,500	0.00%	5	327	0.0%	109	436	1,064	0.00%
Legal Fees	200,000	0.62%	5,479	29,288	0.1%	167,865	197,153	2,847	0.01%
Board of Directors Expense	10,000	0.03%	0	234	0.0%	9,578	9,812	188	0.00%
Accounting Fees	65,000	0.20%	0	0	0.0%	65,000	65,000	0	0.00%
Equipment Purchases	155,000	0.48%	1,941	30,489	0.1%	123,466	153,956	1,044	0.00%



SAN GABRIEL/POMONA REGIONAL CENTER  
**OPERATIONS FUND FINANCIAL REPORT**

FISCAL YEAR 2020-21

PAYMENTS THROUGH APRIL 19, 2021 FOR SERVICES PROVIDED THROUGH MARCH 31, 2021

75.0% OF YEAR ELAPSED

**DRAFT  
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	A	B	C	D	E	F	D plus F G	A minus G H	I
	Current Allocation	% of Allocation	Current Month Expenditures	Year-to-Date Expenditures	YTD Actual as % of Allocation	Projected Remaining Expenditures	Total Projected Expenditures	Projected Balance Remaining Amount	Percent
Contractor & Consultants - Adm Services	263,520	0.82%	16,931	109,915	0.3%	150,398	260,314	3,206	0.01%
Contract - ABX2 Disparities	0	0.00%	0	0	0.0%	0	0	0	0.00%
Travel/mileage reimbursement	20,000	0.06%	1,174	13,001	0.0%	4,514	17,515	2,485	0.01%
ARCA Dues	0	0.00%	0	0	0.0%	0	0	0	0.00%
General Expenses	21,000	0.07%	503	15,438	0.0%	3,490	18,927	2,073	0.01%
<b>Total Operating Expenses (Regular Operations)</b>	<b>4,803,550</b>	<b>14.94%</b>	<b>329,388</b>	<b>3,229,938</b>	<b>10.0%</b>	<b>1,532,657</b>	<b>4,762,595</b>	<b>40,955</b>	<b>0.13%</b>
<b>Total Personal Services &amp; Operating Expenses (Regular Operations)</b>	<b>32,257,211</b>	<b>100.35%</b>	<b>1,486,381</b>	<b>22,422,558</b>	<b>69.8%</b>	<b>9,537,739</b>	<b>31,960,296</b>	<b>296,915</b>	<b>0.92%</b>
<b>OTHER INCOME</b>									
Interest & Other Income	(113,000)	-0.35%	(6,768)	(91,865)	-0.3%	(20,360)	(112,225)	(775)	0.00%
<b>Total Personal Services &amp; Operating Expenses Net of Other Income (Regular Operations)</b>	<b>32,144,211</b>	<b>100.00%</b>	<b>1,479,614</b>	<b>22,330,693</b>	<b>69.5%</b>	<b>9,517,378</b>	<b>31,848,071</b>	<b>296,140</b>	<b>0.92%</b>
<b>RESTRICTED FUNDS</b>									
Family Resource Center Expenses	154,564	100.00%	0	69,501	45.0%	85,063	154,564	0	0.00%
Foster Grandparent/Senior Companion Expenses	1,209,748	100.00%	67,422	675,412	55.8%	534,335	1,209,748	0	0.00%
Community Placement Plan Expenses	1,688,719	100.00%	951,186	1,283,577	76.0%	405,143	1,688,720	0	0.00%
<b>Total Restricted Funds</b>	<b>3,053,031</b>		<b>1,018,608</b>	<b>2,028,491</b>	<b>99.2%</b>	<b>1,024,541</b>	<b>3,053,031</b>	<b>0</b>	<b>0.00%</b>
<b>Total Expenses (Including Restricted Funds)</b>	<b>35,197,242</b>		<b>2,498,221</b>	<b>24,359,183</b>	<b>69.2%</b>	<b>10,541,919</b>	<b>34,901,102</b>	<b>296,140</b>	<b>0.85%</b>
<b>Total Allocation Balance (Deficit)</b>								<b>296,140</b>	

**SAN GABRIEL/POMONA VALLEYS  
DEVELOPMENTAL SERVICES, INC.**

**COMMUNITY RELATIONS/  
LEGISLATIVE COMMITTEE**

**MINUTES FROM THE APRIL 14, 2021 MEETING**

The following committee members were present at said meeting:

**PRESENT**

Penne Fode  
Joseph Huang  
Gisele Ragusa  
Georgina Molina  
Rachel McGrath

**GUESTS**

Amber Angell

**STAFF:**

Anthony Hill, Executive Director  
Lucina Galarza, Director of Community Services  
Joe Alvarez, Associate Director of Clinical Services  
Yvonne Gratianna, Manager of Communications &  
Public Relations  
Xochitl Gonzales, Community Outreach Specialist  
Willanette Steward-Satchell, Executive Assistant  
(Management)  
Erika Gomez, Executive Assistant - BOD

**ABSENT:**

Daniel Rodriguez (Chair)  
Natalie Webber

**RECOMMENDED BOARD ACTIONS**

**The Community Relations/Legislative Committee recommends the following:**

None

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**CALL TO ORDER**

Penne Fode, called the meeting to order at 6:02 pm. A quorum was established.

The minutes from March 17, 2021 were reviewed and approved with the following correction:

- The next meeting is scheduled for April 14, 2021.

**M/S/C (Molina & Ragusa) The committee approved the minutes.**

## **PUBLIC INPUT**

None

## **COMMUNITY OUTREACH MONTHLY REPORT\***

Xochitl Gonzales, Community Outreach Specialist, presented the monthly Outreach Report for March 2021. The Community Outreach Specialist have focused on the following projects:

- Progress/Status of Continuing POS Equity Projects Funded by DDS FY 19-20 Disparity Program Grants
- Ongoing Projects Previously Funded
- Other Equity-Related Activities not supported with Disparity Grant Funds.
- Family Support/ Training

## **LEGISLATIVE ISSUES & OTHER INFORMATION**

Anthony Hill, Executive Director, and staff reported the following:

- Grassroots Day – Yvonne Gratianne shared that this was a jammed packed, two days (virtual) event. SG/PRC participated in 7 meetings. Penne Fode participated in one and so did Georgina Molina. Overall, the experience was positive as most legislators and staff were very receptive. The delegation consisted of staff, one who is a manager and parent, a service coordinator who has a specialized caseload, a Board member who is also an individual served and two service providers. The biggest difference from this year to previous ones is that staff did not have to start from ground zero with some legislators as many had knowledge of the regional center system. A lot of legislators were interested in a follow up meeting.
- Dr. Angell- USC (Research Projects) – the following information was discussed:
  - Autism Disparities
  - Latinx Families' Experiences of Autism Diagnosis and Services
  - Current and Future Research Studies
  - Autism Diagnosis Trajectories of Girls
  - Parental Attitudes and Decision Making About Autism Services and Treatments Among Latinx Populations
- Western University Partnership – SG/PRC sent a letter of support to Western University for their dental desensitization program.
- SG/PRC Dental Clinic – SG/PRC hosted a dental clinic and will hold another one on May 22, 2021. An RFP was sent out for a CPP grant to expand SG/PRC's dental program to a centralize location with better accessibility and the ability to administer general anesthesia. The deadline was on Friday. Staff

are reviewing the proposals and will decide tomorrow. A video from the March dental clinic was presented.

- Technology Lending Library- Staff were able to get a modification and CPP proposal. \$50000 was received from the state to Parents' Place and they have to encumber funds before end of June. They plan to use that for assistance with the lending library and connectivity. Connectivity is the biggest issue for families. SG/PRC donated 250 of used iPads to Parents' Place and \$20000 for connectivity.
- FEMA -Funeral Assistance – FEMA is providing financial help for payments for funeral costs for Covid cases. This information was shared with the community, vendors and staff.

### **COVID-19 UPDATE:**

Anthony Hill, Executive Director, and staff reported the following:

- COVID -19 Report – Joe Alvarez provided individual stats on COVID-19; spoke about the trends, presented information on the DDS report to the Committee.
- Re-Engagement Non-Residential Services – The Blueprint for Economy came out on April 2, 2021. Day Program providers will be allowed to re-engage starting in May. In May 40% clients will be allowed to attend program, an additional 40% will be allowed back in June with the remainder being allowed in July. Staff are working with families and providers to give vaccination information to nonresidential providers as the individuals served return to in face services. Licensing has clarified that providers cannot limit activities or participation for those that have not been vaccinated.
- COVID-19 Vaccine Data Collection- As of April 1, 2021 - 2690 individuals served have been vaccinated, as of the date of this meeting – 3410 individuals served have been vaccinated.
- SG/PRC COVID-19 Testing Clinic – The first event took place on April 7, 2021, it was a drive up set up. Members can access the link to register to be tested. A video of this event was presented.
- SG/PRC COVID-19 Vaccine Clinic – On April 8, 2021, SG/PRC partnered with LA County to do a vaccination site in the building where there was an observation room intended to be relaxing. The next vaccination clinic is scheduled for May 6, 2021.

### **ADJOURNMENT:**

The next meeting is on May 19, 2021.



**SAN GABRIEL/POMONA  
REGIONAL CENTER**

**Client Services/Advisory Committee  
Wednesday, May 26, 2021 at 6:00 p.m.  
Videoconference Meeting**

**Committee Members:**

**Staff:**

Shannon Hines, Co-Chairperson  
Sheila James, Co-Chairperson  
Mary Soldato  
Preeti Subramaniam  
Daniel Clancy  
Herminio Escalante  
David Grisey (LOA)

Jenny Needham  
Julie Lopez  
Sherry Meng  
Ning Yang  
Louis Jones  
John Randall (LOA)  
Victor Guzman  
Ardena Bartlett

Anthony Hill  
Lucina Galarza  
Daniela Santana  
Joe Alvarez  
Erika Gomez  
Elisa Herzog

**AGENDA**

- **Call to Order – Chairperson, Shannon Hines (6:00 – 6:05)**  
- Approve Minutes of April 28, 2021 Meetings

- Public Comment (6:05 – 6:10)

- Action Item: None

- Client Advocate – Elisa Herzog (6:10 – 6:15)

**(6:15 – 6:45) – Special Presentation – CAL ABLE – Sofia Cervantes, State Council on Developmental Disabilities**

**(6:45 – 7:00) Committee Information**

Shannon Hines and Sheila James, Chairs, Lucina Galarza, Director of Community Services and Daniela Santana, Director of Client Services

**-Future Training Topics**

**-June 23, 2021 – Dental Desensitization Program**

**July 28, 2021 – Medication Review Committee**

- **Behavioral Intervention Policies – Will be recommended to Board on 5/26/2021.**
- **Self Determination Advisory Committee Meetings & Updates**
- **Coronavirus Update**
- **Agenda for June 23, 2021**

**SAN GABRIEL/POMONA REGIONAL CENTER  
DEVELOPMENTALSERVICES, INC.**

**Minutes of the Meeting of the Client Services /Advisory Committee  
April 28, 2021**

A regular meeting of the Client Services/Advisory Committee was held on Wednesday, April 28, 2021. The following committee members were present at said meeting:

**PRESENT**

Pretti Subramaniam  
Shannon Hines  
Mary Soldato  
Herminio Escalante  
Julie Lopez  
Ardena Bartlett  
Sheila James  
Jenny Needham

**STAFF:**

Anthony Hill  
Lucina Galarza  
Daniela Santana  
Joe Alvarez  
Erika Gomez  
Johnny Pang  
Willanette Satchell  
Erika Gomez

**GUESTS:**

None

**ABSENT:**

Victor Guzman  
Daniel Clancy  
David Grisey (LOA)  
John Randall  
Sherry Meng  
Ning Yang  
Louis Jones

**ITEMS DISCUSSED**

**CALL TO ORDER**

Shannon Hines called the meeting to order at 6:04 pm  
A quorum was not established.

The minutes of the January 27, 2021 were reviewed and approved.  
**M/S/C (Soldato & Needham) The committee approved the minutes.**

The minutes of the February 24, 2021 were reviewed and approved.  
**M/S/C (Soldato & Needham) The committee approved the minutes.**

The minutes of the March 24, 2021 were reviewed and approved.  
**M/S/C (Subramaniam & Soldato) The committee approved the minutes.**  
**Abstain: Needham, James, Bartlett**

**PUBLIC COMMENT** – None



**CLIENT ADVOCATE** – Elisa Herzog was not present.

**SPECIAL PRESENTATION** – **What is Family Home Agency (FHA)?**

Lucina Galarza, Director of Community Services, and Karen Palacios, FHA Specialist, shared about the following:

- What is it?
- A great consideration for first time placements
- Special allowance to serve parents/children
- Who are our FHAs
- Role of the Service Coordinator
- Role of FHA Specialist
- DDS Reviews

**FUTURE TRAINING TOPICS** –The committee agreed on the following training topics:

- **May 26, 2021 – CAL ABLE**
- **June 23, 2021 – Dental Desensitization Program**
- **July 28, 2021 - Medication Review Committee**

**BEHAVIORAL INTERVENTION POLICIES**

The committee reviewed the policies and held a discussion about reform with law enforcement and individuals with developmental disabilities. This is the third revisit of this policy.

**M/S/C (James & Needham) The committee approved for the policy to be recommended to the Board for review.**

**SELF DETERMINATION**

Due to time constraints, this item was tabled.

**CORONAVIRUS UPDATE** -Anthony Hill, Executive Director

Due to time constraints, this item was tabled.

**ADJOURN**

Chairperson Shannon Hines adjourned the meeting.

The next Client Services/Advisory Committee meeting is scheduled for Wednesday, May 26, 2021 via videoconference.

**SAN GABRIEL/POMONA VALLEYS  
DEVELOPMENTAL SERVICES, INC.  
VENDOR ADVISORY COMMITTEE MINUTES**

**May 6, 2021**

The following committee members were in attendance at said meeting:

**PRESENT:**

David Bernstein, Chairperson  
Olaf Luevano  
Valerie Donelson  
Charmayne Ross  
Jose Mendoza  
Nicole Mirikitani  
Susan Stroebel  
Nancy Bunker  
Grace Kano  
Brenda Baldeon

**STAFF:**

Anthony Hill, Executive Director  
Lucina Galarza, Director Community  
Services  
Daniela Santana, Director of Client  
Services  
Rosa Chavez, Associate Director of Client  
Services  
Jaime Anabalon, QA Manager  
Erika Gomez, Exec. Assistant – BOD  
Willanette Satchell, Exec. Assistant -  
Management

**MEMBERS ABSENT:**

Baldo Paseta  
Bryan Chacon  
Rosalind Ford  
Vanessa Besack

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**RECOMMENDED ACTIONS**

**THE VENDOR ADVISORY COMMITTEE RECOMMENDATION:**

None

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**A. CALL TO ORDER**

David Bernstein called the meeting to order at 10:05 a.m. A quorum was established after roll call.

The minutes from the April 1, 2021 meeting were approved.

**M/S/C (Bunker & Ross) The committee approved the minutes.**

## **B. NOMINATIONS FOR VAC CHAIRPERSON**

The following members were nominated, pending approval from their programs. Voting will take place next month.

- Olaf Luevano
- Susan Stroebel
- Jose Mendoza

## **C. MEMBERSHIP AND RECRUITMENT COMMITTEE**

With Mr. Bernstein and Mr. Chacon sunsetting from the VAC, the committee needs others to be a part of the Membership and Recruitment Subcommittee. The following members volunteered:

- Nicole Mirikitani
- Nancy Bunker

**M/S/C (Donelson & Kano) The committee approved the above-mentioned members to be the Membership and Recruitment Subcommittee.**

## **D. IMPACT OF COVID 19 AREAS OF DISCUSSION**

With more and more vaccines being distributed, there seems to be a sense of hope. The following discussions were held:

- Some providers would like the option to continue to do videoconference meetings when they go back to being held in person.
- Some individuals served as well as some staff want to return to in person and others are afraid to do so.
- Residential providers would like to have access to the Day Program's safety measures and processes. Someone suggested that Day Program post their plans on their website.
- It is very challenging for some individuals served to wear a mask for long periods of time. There was a suggestion that psychiatric services be provided to those that need it as they resume their activities.

## **E. VENDOR CATEGORY REPORTS**

### **Adult Programs**

*Vocational* – Olaf Luevano shared that the subcommittee met on April 29, 2021. There were some technical difficulties with zoom but those present discussed reengagement and transportation. He also reported that some of the individuals in his program are returning to volunteer and worksites.

*Adult Day* – Jose Mendoza said that only a small number are willing to return to in person services. In his program in Covina, only 5 out of 61 of participants

returned. The majority want staff to go to their place of residency and do programming there. But those that did return expressed a lot of positivity.

### **Infant & Children Services**

*Infant Development Program* – Charmayne Ross expressed that she wishes that telemedicine continues as there are a lot more cancellations when doing in-person sessions. She requested that Mr. Hill advocate for this.

### **Transportation**

Mr. Bernstein reported on Baldo Paseta's behalf. At the last subcommittee meeting, the members discussed monthly rates, reentry, and billing. The next meeting is scheduled for May 19, 2021.

### **Independent Living Services**

*ILS Services* – Nicole Mirikitani had nothing to report.

*SLS Services* – Nancy Bunker shared the following:

- DDS published the new list of HCBS grant recipients for 2021.
- Staffing is more active as applications started to be submitted again.
- EBB is going to be required to anyone providing in home services. The state is developing a model for those set to go online next year. This will add to the expenses. DDS was asked if providers will have additional support for those costs and have not given a response. She requested that staff brief them on this matter, Mr. Hill said they will in June.

### **Residential Services**

*Specialized* – Mr. Bernstein requested information about the referral process at regional center. Providers would like to understand the process and policies fully. They would like to know what is in place now and for there to be more transparency. Vendors have a perception that if they speak up it will affect their referrals. Mr. Hill reassured those present that this will change and get better. He committed to learn what the processes are before he discusses it. Mr. Bernstein expressed he would like there to be input from vendors when these types of procedures are developed.

He also shared that surveyors requested his Program Design Approval Letter from DDS.

Lastly, he announced that the subcommittee meeting will take place next week.

*CCF* –Valerie Donelson encouraged her peers to speak up.

*ICF*- Grace Kano also asked for transparency with the referral packet. She asked a couple of questions about the process. She reported that after a year, surveyors are back doing reviews and her facility did well.

**Other Vendored Services**- Bryan Chacon was not present.

**At Large**- Susan Strobel suggested the Airbnb model when reviewing the referral process policy. The model is about both parties providing feedback in terms of success and satisfaction with each experience. She also shared that clinics continue to be open and there have been no exposures. Brenda Baldeon expressed that she would also like telehealth to continue. She reached out to the Director of DDS, Nancy Bargman, but has not heard back.

**F. LEGISLATIVE UPDATE**

Susan Stroebel provided a document of legislative updates.

**G. SG/PRC STAFF UPDATES**

SG/PRC is hosting a vaccine clinic today.

**PUBLIC COMMENTS**

None

**MEETING ADJOURNED**

The next regular meeting will be held on June 3, 2021 at 10:00 a.m.

**SAN GABRIEL/POMONA VALLEYS  
DEVELOPMENTAL SERVICES, INC.  
STRATEGIC DEVELOPMENT COMMITTEE MINUTES**

**May 12, 2021**

The following committee members were present at said meeting.

**MEMBERS:**

Julie Chetney, Chairperson  
Bruce Cruickshank  
Anabel Franco  
Bill Stewart

**STAFF:**

Anthony Hill, Executive Director  
Lucina Galarza, Director of Community Services  
Joe Alvarez, Director of Clinical Services  
Daniela Santana, Director of Client Services  
Willanette Steward-Satchell, Executive Assistant  
(Management)  
Erika Gomez, Executive Assistant - BOD

**GUESTS:**

Shannon Hines

**MEMBERS ABSENT:**

Gisele Ragusa

**RECOMMENDED BOARD ACTIONS**

**THE STRATEGIC DEVELOPMENT COMMITTEE RECOMMENDS THAT  
THEY TAKE ACTION ON THE FOLLOWING:**

None.

**ITEMS DISCUSSED**

**A. CALL TO ORDER**

Julie Chetney called the meeting to order at 6:03 p.m. A quorum was established.

The Minutes from the April 14, 2021 Strategic Development Committee meeting were approved.

**M/S/C (Stewart & Franco) The committee approved the minutes.**

The Minutes from the April 19, 2021 Nominating Committee meeting were approved.

**M/S/C (Stewart & Franco) The committee approved the minutes.**

**B. PUBLIC INPUT**

None

**C. GOALS AND OBJECTIVES**

**Strategic Plan Taskforce – The members discussed the following:**

- Future training topics will continue to be decided based on the Board Survey results.
- Staff can add the Board Orientation Binder information in the Google Drive if the members would like.
- The members will continue to revise the Strategic Timeline next month starting with Goal 4, Objective 2.

#### **D. BOARD COMPOSITION**

- This item was tabled.

#### **E. COVID REPORT**

Mr. Hill and staff provided a quick update on how Covid 19 has impacted operations.

- Staff are returning to the office in phases. This week, IT, Fiscal and Administrative staff returned. The leadership team will return next week. Service Coordinators return next week, once a week. The days that staff are required to return will increase with each phase.
- Joe Alvarez provided individual stats on COVID-19; spoke about the downward trend and shared the information on the DDS report to the Committee. He also shared that he created a presentation on vaccine hesitancy and will share it with the committee.
- SG/PRC continues to offer full scope - in person intake and eligibility. Referrals numbers are going back up to pre pandemic numbers. There are more EI clinics on site as well.
- 93% of staff have been vaccinated.
- SG/PRC is a testing site every Wednesday and will expand to test 3 days a week starting in June.
- SG/PRC hosted another vaccine clinic on May 6, 2021. Another vaccine clinic is scheduled for May 27, 2021.
- Daniela Santana shared the data collected of individuals served who have been vaccinated. There was a brief discussion about why individuals served are not required to get vaccinated and that service providers cannot refuse to serve those who are not vaccinated.
- Day Program providers will be supported to re-engage starting this month. In May 40% clients will be allowed to attend program, an additional 40% will be allowed back in June with the remainder being allowed in July.

#### **F. AGENDA FOR NEXT MEETING**

Strategic Plan Taskforce

Board composition – Recruitment strategies



**G. ADJOURNED**

Meeting adjourned.

The next Strategic Development Committee meeting is scheduled for June 9, 2021.

# SAN GABRIEL/POMONA REGIONAL CENTER

May 19, 2021

TO: Dr. Gisele Ragusa, Board President & Board of Directors  
San Gabriel / Pomona Valleys Developmental Services, Inc.

FROM: Anthony Hill, M.A. J.D. Esq.  
Executive Director

RE: Executive Director's Report

## **Celebrating Our Service Providers and Community Partners**

The new CDC guidance pertaining to vaccinated persons is exciting. Over the course of the past 60 days, we have received a wave of positive news regarding the COVID-19 positivity rate within Los Angeles County. We are at the lowest tier. Most business activities are allowed to commence, streams of commerce are opening up and we are encouraged that a sense of normalcy is around the corner. All of us have done a great job together as a community. Our medical community, and Dr. Ferrer and her team with Los Angeles County Department of Public Health (LACDPH) have demonstrated strong leadership through implementing prudent public health policy.

Exceedingly, we admire, and recognize the commitment and resilience of our service providers. Every day, every hour, every minute, and every second they stood tall against COVID-19. They delivered residential and non-residential services, helping individuals served recover from COVID-19, offering support and guidance with ensuring that individuals served stay the course with wearing mask, physical distancing, hand washing while balancing their need to keep their staff, staff's families, and themselves safe. SG/PRC service providers, courtesy service providers, and our community partners, we thank-you for your kindness, compassion, care and prompt responses. We are inspired by all of you. We applaud all of you!

## **SG/PRC's Critical Business Functions**

We are pleased to report that all critical functions including Intake/Eligibility, Client Services, Fiscal, Clinical, Human Resources, Quality Assurance and Information Technology are at optimal levels. Our remote workforce is at 80 percent. Ninety three percent (93%) of our employees are fully vaccinated.

Effective May 7, 2021, we began implementing a gradual reduction of our remote workforce across job classifications ending during September 2021. Our plan is framed with an "abundancy of caution", and is deliberately slow to account for potential COVID-19 positivity spikes connected with Memorial Day, Fourth of July and Labor Day. SG/PRC staff are being tested randomly weekly. Our internal testing efforts will expand incrementally as we increase the amount of staff that perform their work in the office.

The SG/PRC business office remains open to the public on an appointment only basis. We are preparing for full re-opening within the next 90 days as a moving time frame. All visitors are required to wear a face mask and pass a contactless electronic temperature screening device. Our porter sanitizes and disinfects our office space during business hours. SG/PRC is the **only regional center throughout the pandemic** that has continued to safely provide in-person intake and eligibility services and early intervention service clinics.

We are pleased to report that throughout the pandemic, children and their parents continue to spend time with us, being greeted by SG/PRC staff, waiting in our lobby, and thereafter receiving diagnostic, counselling, clinical, early intervention and intake services. We have recently, increased the volume of appointments for our onsite intake/eligibility and early intervention clinics.

The following reflects intake and eligibility data ending April 2021 (See Attachment A).

**Early Intervention/Intake**

1483 New Referrals  
1268 Eligibility Reviews  
63 Reactivations  
1195 children found eligible

**Lanterman/Intake**

278 New Referrals  
921 Eligibility Reviews  
133 Reactivations  
514 Eligible (Plus/EI transitions)

As required by the Department of Developmental Services (DDS) Directive, SG/PRC is conducting in-person visits with individuals served that receive residential services, family home agency services, that live in skilled nursing facilities or receive supported living or independent living services (See Attachment B)

**LA County COVID-19 Positivity Data**

On May 17, 2021, according to Los Angeles County Department of Public Health statistics archived within its dashboard, the COVID-19 positivity rate within Los Angeles County is between 0.6% percent and the case rate is 1.4 per 100,000 individuals. In conformity with Los Angeles County data, SG/PRC's COVID-19 positive test results for individuals served, service provider staff, and SG/PRC staff is on a steady downward trend (See Attachment C).

**SG/PRC's COVID-19 Testing Clinic**

Our COVID-19 testing clinic is open every Wednesday for individuals served and their families, service provider staff and their families, and SG/PRC staff and their families. The clinic is held at the SG/PRC office from 9 a.m. to 11a.m.

SG/PRC has a contract with the California Department of Public Health, and Partnership with Color and Valencia Laboratories through August 31, 2021. We are exploring the feasibility of extending our contract (See Attachment D).

### **SG/PRC's COVID-19 Vaccine Clinics & Partnerships**

On May 6<sup>th</sup>, 2021, we held our second vaccine clinic at our business office in partnership with Mercy Pharmacy. The clinic featured the Pfizer vaccine. Our next clinic date is scheduled on May 27<sup>th</sup>, 2021 from 8:30 a.m. to 1:30 p.m. On May 6, 2021, 80 people were vaccinated including individuals served and their families, service providers and their staff, SG/PRC staff and their families, and members of the general public. Our partners are LACDPH, Western University, Mercy Pharmacy, Albertsons, and Phillips Ranch Pharmacy. An uplifting memory etched in our minds on May 6<sup>th</sup>, 2021 is that we vaccinated an individual served that was on a gurney in a medical transport vehicle (See Attachment E).

### **COVID-19 Vaccine Data**

As required by the Department of Developmental Services (DDS) Directive, SG/PRC is collecting COVID-19 vaccine data. We are gathering vaccine data for individuals served. We are collecting the vaccine brand, whether or not the individual served is fully vaccinated, and whether or not the individual served demonstrated their choice to decline COVID-19 vaccines. SG/PRC's vaccine data collection efforts on a proportional basis rest at the top of the list of regional centers according to DDS Data, "COVID-19 Vaccination Data for Regional Center Consumers aged 16 and Up" at [www.dds.ca.gov](http://www.dds.ca.gov) under COVID-19 data.

### **SG/PRC's Dental Clinic May 22, 2021**

On May 22, 2021, SG/PRC will hold our third dental clinic during the COVID-19 pandemic. The SG/PRC dental clinic is a collaborative partnership with Western University.

The clinic will be held within the SG/PRC parking lot with the use of canopy tents, allowing space for physical distancing. Attendees are required to pass a contactless temperature screening monitor, and wear face masks. The purpose of the dental clinic is to support the individual served with securing a permanent dental provider. The dental clinic offers screenings, and implementation of desensitization strategies relying on doctrines of applied behavior analysis (See Attachment F).

### **Parents' Place Technology Lending Library**

SG/PRC was the first regional center to create a technology lending library through allocating 250 iPads to Parents' Place, and allocating funds from a resulting trust to purchase internet service. We are pleased to announce that DDS has recently approved SG/PRC's request to modify SG/PRC's Community Placement Plan (CPP) securing an allocation of \$50,000 supporting Parents' Place Technology Lending Library. We anticipate release of the CPP allocation before June 1, 2021.

### **Director of Community Outreach and Compliance**

We are pleased to introduce Mr. Salvador Gonzalez as our Director of Community Outreach and Compliance. Mr. Gonzalez' new leadership role is effective May 24, 2021.

Mr. Gonzalez will have oversight of the Community Outreach and Compliance programs and team, including the South & North Foster Grandparent and Senior Companion Programs, Cultural Specialists, Educational Specialist, Board Certified Behavior Analyst, and Fair Hearings Specialist supported by an Executive Assistant.

Mr. Gonzalez will support our forward-thinking strategy intended to expand the awareness of services and supports throughout our service area. His responsibilities will include ensuring accountability and efficient administration of our disparity programs, performance contract, National Core Indicator response and reporting, and supporting our Executive Management team with updating SG/PRC's emergency management and response systems.

Mr. Gonzalez, began serving individuals with developmental disabilities when he was a high school student. On his own initiative, Mr. Gonzalez advocated against the school's policy to separate students with disabilities from other students.

Professionally, Mr. Gonzalez has over 20 years of experience. He has served in the roles of Special Education Teacher, Service Coordinator, and (15) years as a Client Services Manager. Mr. Gonzalez is complementing his professional experience with a Masters of Organizational Leadership Degree that he will obtain within the next 5 months.

Mr. Gonzalez is a grassroots community leader who has developed programs that encourage the physical development and academic progress of teenage boys. Many of those he has reached have attended college and are successful in their chosen field of study. The passion for serving our community is clearly illuminated through Mr. Gonzalez' unwavering commitment to support choice, inclusion and equity for individuals with developmental disabilities.

### **SG/PRC's Budget FY 20/21 as of March 18, 2021**

SG/PRC's OPS allocation for FY 20/21 is \$32,144,211 projecting at \$31,848,071 at the end of the fiscal year. Current month expenditures are \$1,479,614 and year to date expenditures are \$22,330,693.

SG/PRC's POS allocation is \$271,307,068. Current month claims are \$24,168,936. Year to date expenditures estimates \$194,248,931. We are projecting a deficit reflecting \$6,343,853 derived from COVID-19 Health and Safety exemption expenditures. All regional centers are required to track COVID-19 expenditures and report those specific costs to DDS. Anticipated future allocations related to COVID-19 expenditures will yield a balanced year end budget outcome.

### **COVID-19 Related Tax Credits/American Rescue Plan**

The Internal Revenue Service and the Treasury Department announced further details related to tax credits available under the American Rescue Plan. Under the new law, businesses could take dollar for dollar tax credits equal to qualified sick leave wages if they offered paid leave to employees who were unable to work or telework due to COVID-19 (See Attachment G).

### **Department of Developmental Services (DDS)**

#### ***May 5, 2021- Extension of Waivers, Modifications and Directives Due to COVID-19 (See Attachment H)***

DDS extended the following directives:

- Department Directive on Requirements Waived due to COVID-19- 3/12/2020(See Attachment I)
  - a. Early start in-person meetings are waived if approved by the parent or legal guardian
  - b. Early start remote services are allowable if approved by the parent or legal guardian
  - c. Lanterman Act in-person meetings are waived if approved by the individual served or legal representative
  - d. Executive Director health & safety authority
- Department Directive on Requirements Waived due to COVID-19 additional guidance 3/18/2020 (See Attachment J)
  - a. Lanterman Act remote services or alternative locations are allowable if approved by the individual served or their legal representative
  - b. Supplemental Staffing for residential providers is allowable
  - c. Day Program service delivery (DDS-guidance) must comply with CDPH guidance
  - d. Public meetings requirement is waived
  - e. WIC § 4731 Complaint 20-day working day response rule is waived
- Department Directive Extension of Early Start Services 6/15/2020 (See Attachment K)
  - a. Early Intervention services may continue after the child reaches age three to support a smooth transition to PART B services.
- Department Directive Waiver or Self-Determination Program Budget Restrictions for Financial Management Services 11/19/2020 (See Attachment L)
  - a. The Financial Management Service is waived from being funded through the participant's budget.

The above is an overview of recently extended DDS Directives that have substantial impact on regional centers' and service providers' operations due to modifications to either the Lanterman Act or Title 17 California Code of Regulations. The remaining DDS Directives that were extended or modified that were not highlighted above can be found at [www.dds.ca.gov](http://www.dds.ca.gov).

### **Department of Developmental Services Proposed Budget FY 21/22**

In May, the Department of Finance submits revised revenue and expenditure estimates for current and budget years to the Legislature. This updated process is referred to as the May Revise. Finance also prepares monthly economic and cash revenue updates during the fiscal year. The May Revise is an essential budget process that creates a window of opportunity for Governor Newsom to adjust his initial budget proposal relying on revised estimates of revenues that will impact budget expenditures policy.

The below is a high-level overview of the May Revise. Additional details can be found in "Department of Developmental Services 2021 May Revision Highlights (See Attachment M).

- \$1.2M to assist with Early Start to special education transition;
- Elimination of sunsets for Prop 56, provider rates, Uniform Holiday;
- Increased funding for START mobile crisis teams;
- \$10M for reduced caseloads (1:40) for those in underserved communities with low or no POS;
- \$24M to address frozen rates for ICFs and pediatric sub-acute facilities;
- \$4M GF Performance Incentive Program for regional centers, increasing to \$61M in FY 2022-23
- \$2.9M GF for development of a training and certification program for DSPs tied to wage differentials;
- \$2.2M GF for bilingual differentials for DSPs, increasing to \$6.5M GF in FY 2023-24;
- \$6.8M to improve onboarding into SDP, including "participant choice specialists, intensive transition support services, and regional center training," which would reduce to \$2.2M GF ongoing in FY 2024-25;
- A proposal for Lanterman Act Provisional Eligibility Ages 3 and 4 for 3-and 4-year-old children who have disabilities which result in significant functional limitations in major life activities but currently do not meet Lanterman Act eligibility criteria";
- Additional resources for individuals who are Deaf; (DDS and regional center funding of \$2.6 million TF, \$1.8 million GF): Spring Finance Letter proposes funding for a DDS position to provide statewide leadership and subject matter expertise on the provision of services and supports for individuals who are deaf and have intellectual or developmental disabilities;



- Funding for 21 regional center deaf services specialists to support the expansion of deaf service resources, provide training and expertise to regional center staff, and coordinate with the Department on statewide efforts.

Procedurally, negotiations will occur within the State Assembly, State Senate and Governor Newsom's office. Compromise will likely emerge before the California Constitutional deadline for passage of the state budget. Aptly, the May Revise is not the final budget, but creates reasonable insight on where the budget might land on key proposals.

### **Association of Regional Center Agencies (ARCA) Updates**

The Association of Regional Center Agencies (ARCA) represents the 21 non-profit regional centers that advocate on behalf of and coordinates services for California's over 350,000 people with developmental disabilities. The Association functions as a leader and advocate in promoting the continuing entitlement of individuals with developmental disabilities to achieve their full potential and highest level of self-sufficiency. The Association participates in the development of public legislative policy that impact individuals with developmental disabilities and their families.

### ***Assembly Concurrent Resolution***

#### **ACR-41**

On March 8, 2021, Assembly Member Chris Holden introduced an Assembly Concurrent Resolution regarding COVID-19 recognizing Direct Support Professionals. The measure recognizes the skills and dedication of Direct Support Professionals who have faithfully served Californians with developmental disabilities during the COVID-19 health crisis. We are excited about this overdue recognition. Our service providers have protected the health and safety of individuals served and their families, and encouraged their continued development and emotional wellbeing before, during and indeed they will continue to do so after the health crisis is behind us. We value our service providers, and we admire their goodwill immensely (See Attachment N).

### **May 6, 2021 ARCA Press Release**

On May 6<sup>th</sup>, 2021 ARCA released a statement supporting the need to increase funding to hire additional staff to ensure delivery of core services. The statement draws attention to the positive state budget outlook compared to drastically underfunded Service Coordinator salaries statewide. Creating a call for action for California Lawmakers to update the antiquated core staffing methodology that has fixed Service Coordinator Salaries slightly above \$34,000 annually representing 52 percent of the actual cost. ARCA is asking for 60 million dollars annually to fill the gap need for additional regional center staffing (See Attachment O).

### **May 16, 2021-ARCA Response to May Revise 2021-22**

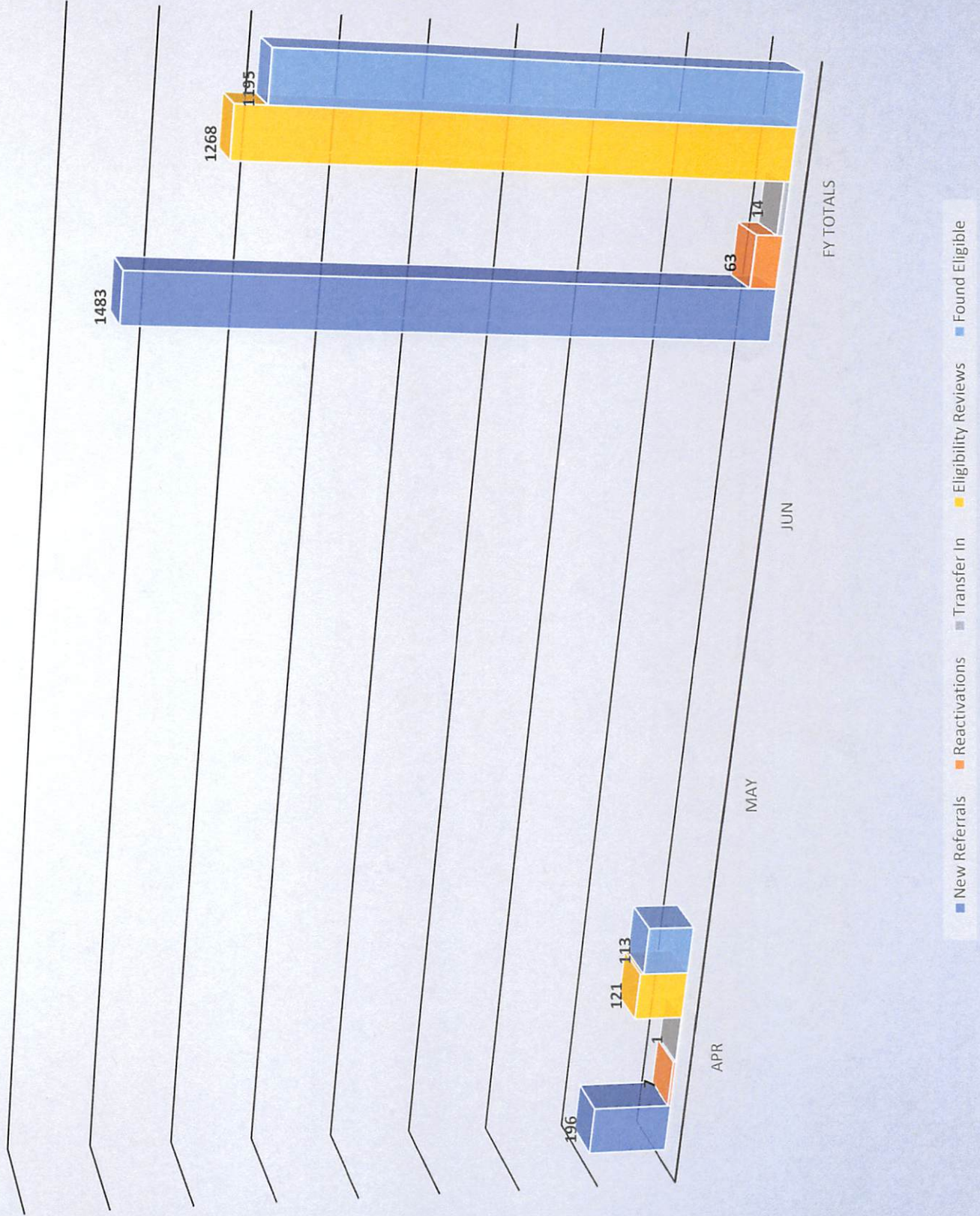
**Senator Susan Talamantes Eggman, Chair, Senate Budget Subcommittee #1 on Health and Human Services; Assemblymember Joaquin Arambula, Chair Assembly Committee on Budget, Subcommittee #1 on Health and Human Services**

ARCA expresses support and appreciation for budget proposals creating an end to sunseting service provider rate reductions in the form of Uniformed Holidays, expansion of crisis mobile services, pay differentials for Direct Support Professionals with enhanced credentials, trainings and bilingual skill sets, enhancements to the Paid Internship Programs, investments in Self-Determination, Early Start outreach, service delivery for individuals served that are deaf, and the development of the performance improvement program.

ARCA focuses our attention to the sturdy California budget and the need to not lose sight on the opportunity to begin comprehensive rate reform through meaningful investments in service provider rates (See Attachment P).

# Early Start Referrals & Eligibility Reviews Fourth Quarter FY 2020-2021

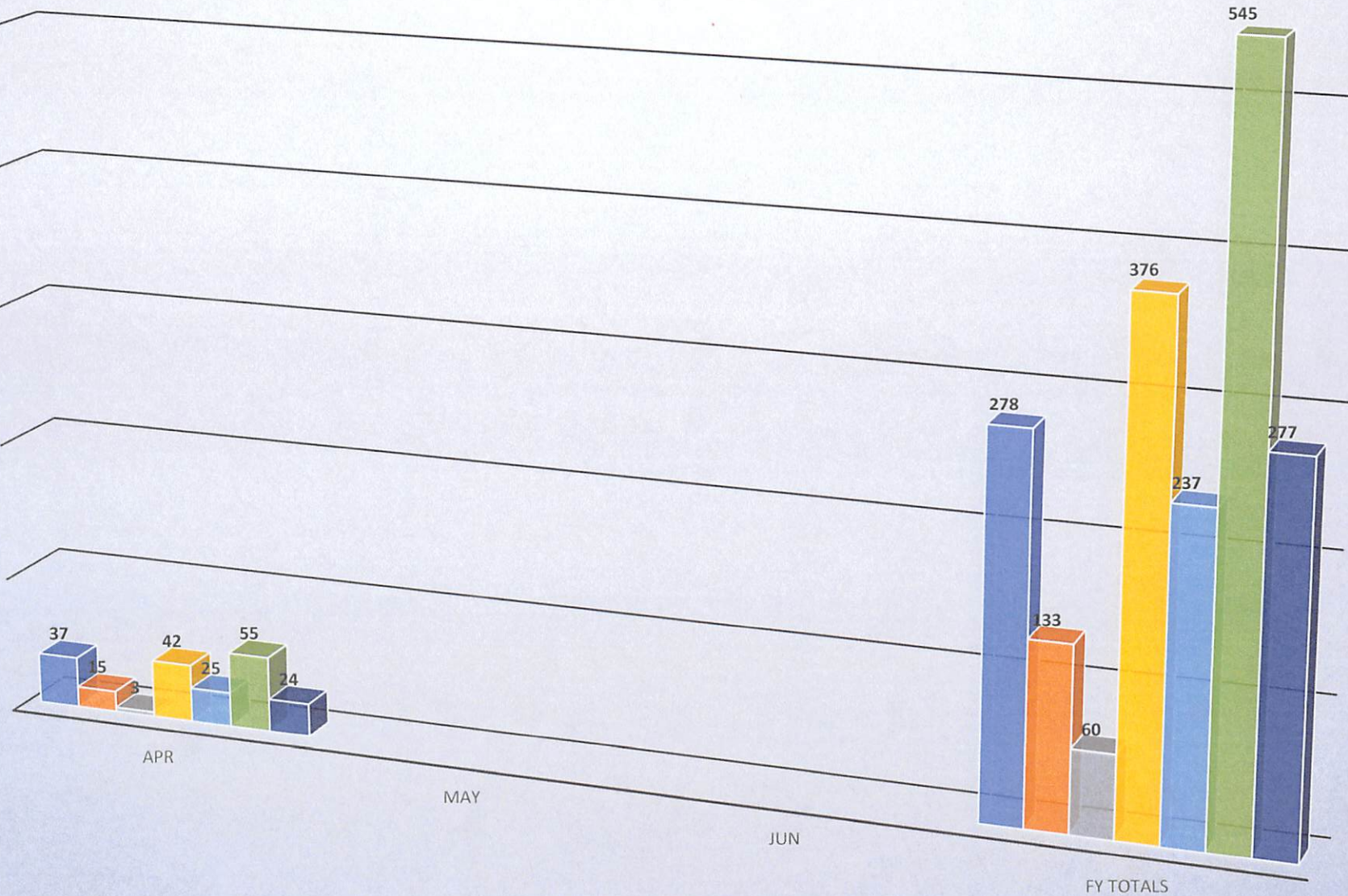
ATTACHMENT A





# Lanterman Intake Referrals & Eligibility Reviews Fourth Quarter FY 2020-2021

ATTACHMENT  
A



■ New Referrals  
 ■ Reactivations  
 ■ Transfer In  
 ■ Eligibility Reviews  
 ■ Found Eligible  
 ■ Exiting EI Services-Eligibility Reviews  
 ■ Exiting EI Service-Found Eligible

**DEPARTMENT OF DEVELOPMENTAL SERVICES**

1600 NINTH STREET, Room 240, MS 2-13  
SACRAMENTO, CA 95814  
TTY: 711  
(916) 654-1897



April 21, 2021

**TO: REGIONAL CENTER EXECUTIVE DIRECTORS**

**SUBJECT: DEPARTMENT DIRECTIVE 01-042121: IN-PERSON MONITORING VISITS**

Welfare and Institutions (W&I) Code section 4639.6 authorizes the Director of the Department of Developmental Services (Department) to issue directives to regional centers as the Director deems necessary to protect consumer rights, health, safety, or welfare, or in accordance with W&I Code section 4434. Regional centers must comply with any directive issued by the Director pursuant to this section.

The Department acknowledges the vital work of regional centers in response to the COVID-19 pandemic. Regional centers have adapted service delivery in order to protect consumers and limit the risk of exposure and spread of COVID-19. In response to the pandemic, regional centers continued to conduct monitoring visits using remote electronic communications. While moving to remote monitoring was necessary, it is not intended to be a long-term strategy considering the significant benefit of face-to-face monitoring. With availability of vaccinations and continued use of safety measures (personal protective equipment, etc.) it is important to return to in-person monitoring visits.

On January 13, 2021, the Department issued a letter confirming that employees of California's regional centers who conduct certain activities to ensure the welfare of consumers are "health care workers" pursuant to the State of California's Vaccination Plan, and thus are prioritized for COVID-19 vaccination within Phase 1A. While there is no requirement that regional center staff conducting in-person monitoring be vaccinated, this prioritization for vaccination may support regional centers in being able to carry out essential in-person monitoring activities for consumers residing in settings outside of the family home.

Pursuant to W&I Code section 4639.6, to ensure the health, safety, and well-being of consumers living outside of the family home, the Department is directing regional centers to resume conducting, at least quarterly, face-to-face monitoring visits for consumers living in licensed residential facilities, Family Home Agency settings, and Supported Living/Independent Living arrangements as required by Article IX, Section 1(b) of the Department's contracts with regional centers. Regional centers may conduct additional

**"Building Partnerships, Supporting Choices"**

Regional Center Executive Directors  
April 21, 2021  
Page two

face-to-face quality assurance monitoring visits, but these visits do not replace the face-to-face visits required by contract language. Recognizing the number of visits to be scheduled, regional centers should develop a strategy to begin visits no later than 30 days from the date of this Directive, that prioritizes visits to consumers with the most high-risk needs first.

When conducting in-person monitoring visits, staff should follow the most restrictive local, state and federal guidelines for COVID-19 safety in effect at the time. Regional centers should establish risk mitigation protocols for these visits, and proactively advise individuals, families, staff and others, as necessary, of precautionary measures involved in upcoming visits. Regional centers should contact the Department if additional personal protective equipment, such as masks, gloves or hand sanitizer, is needed to safely conduct in-person visits.

Consumers, family members or providers should contact their local regional center with questions regarding this Directive. Questions from regional centers should be directed to [DDSC19Directives@dds.ca.gov](mailto:DDSC19Directives@dds.ca.gov).

Sincerely,

*Original Signed by:*

NANCY BARGMANN  
Director

cc: Regional Center Board Presidents  
Regional Center Administrators  
Regional Center Directors of Consumer Services  
Regional Center Community Services Directors  
Association of Regional Center Agencies

ATTACHMENT  
B

**DEPARTMENT OF DEVELOPMENTAL SERVICES**

1600 NINTH STREET, Room 240, MS 2-13  
SACRAMENTO, CA 95814  
TTY: 711  
(916) 654-1897



April 21, 2021

TO: REGIONAL CENTER EXECUTIVE DIRECTORS

SUBJECT: DEPARTMENT DIRECTIVE 02-042121: VISITS TO INDIVIDUALS  
RESIDING IN LICENSED RESIDENTIAL FACILITIES

This supersedes the Department of Developmental Services' (Department or DDS) March 23, 2020 Directive regarding visits to licensed residential facilities, and the March 23, 2020 Directive regarding immediate COVID-19 risk mitigation strategies for Adult Residential Facilities for Persons with Special Health Care Needs and Intermediate Care Facilities/Developmentally Disabled-Continuous Nursing.

Due to the ongoing incidence of COVID-19 and associated risks, it is recommended that individuals who reside in licensed residential settings continue to utilize caution when considering in-person visits with family and friends. If and when personal visits occur, such visits shall be conducted in accordance with local county public health orders and relevant guidelines issued by the California Department of Social Services (CDSS) and/or California Department of Public Health (CDPH). If there are differing requirements between the most current Centers for Disease Control and Prevention, CDSS, CDPH, DDS, and local health department guidance or health orders, **licensees should follow the strictest requirements**. However, there may be times where a licensee will need to contact their regional center for assistance in reconciling these differences, especially if the requirements appear to be in conflict with the best interest of residents.

Regional center staff shall work with service providers to ensure visitation and risk mitigation protocols are in compliance with the most restrictive local, state and federal guidelines for COVID-19 safety at the time. Please refer to the Department's April 21, 2021 Directive regarding resuming in-person monitoring visits.

Regional centers and service providers must work collaboratively to advise individuals, families, staff, and others, as necessary, of precautionary measures involved in upcoming visits.

**"Building Partnerships, Supporting Choices"**



Regional Center Executive Directors  
April 21, 2021  
Page two

Parents, guardians, authorized legal representatives and service providers should contact their local regional center with any questions regarding this Directive. Questions from regional centers should be directed to [DDSC19Directives@dds.ca.gov](mailto:DDSC19Directives@dds.ca.gov).

Sincerely,

*Original Signed by:*

NANCY BARGMANN  
Director

cc: Regional Center Board Presidents  
Regional Center Administrators  
Regional Center Directors of Consumer Services  
Regional Center Community Services Directors  
Association of Regional Center Agencies

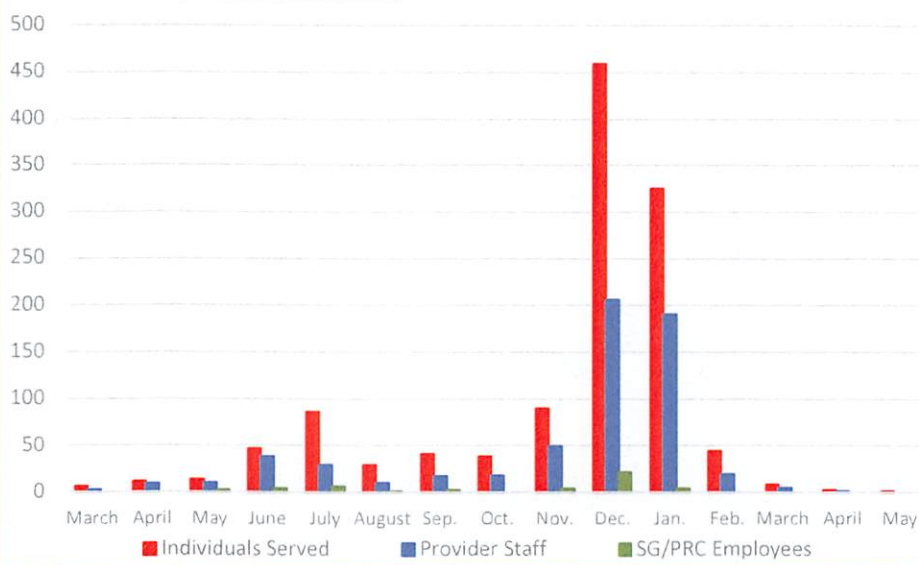
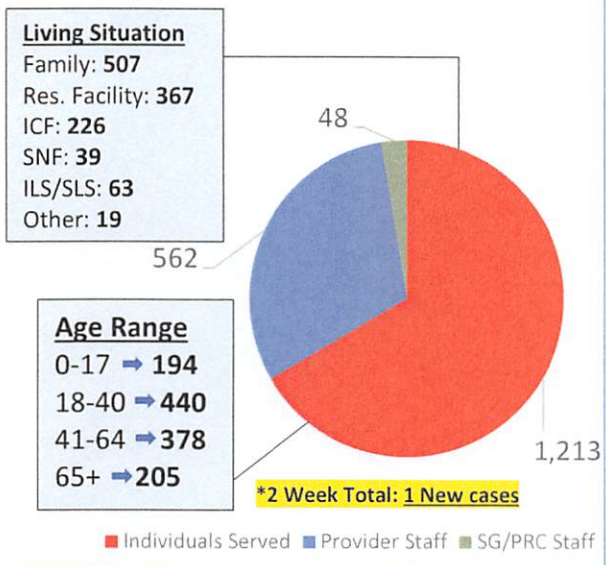
**California COVID-19 Data**

Total Cases: 3,664,909  
 Hospitalizations: 1,684  
 Deaths: 61,499

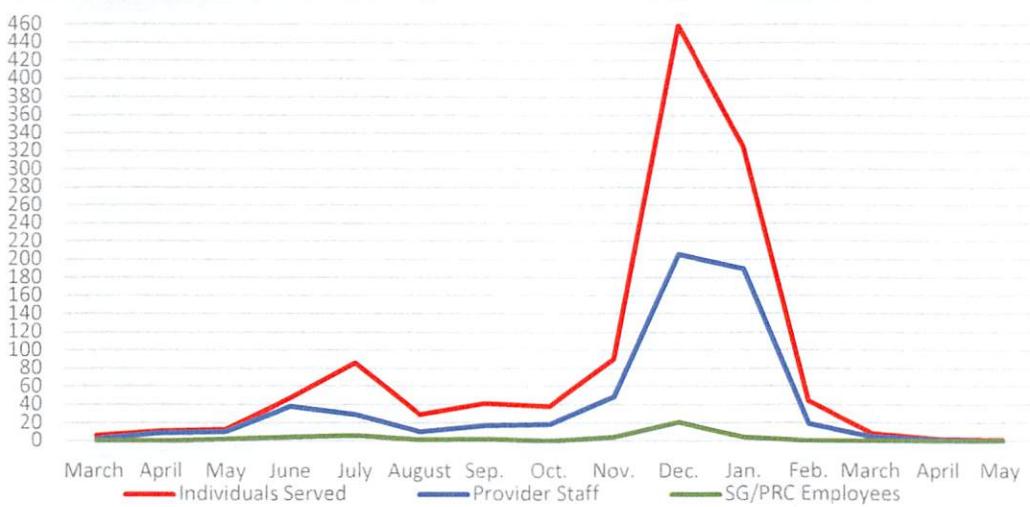
**San Gabriel Pomona Regional Center COVID-19 Report Week of 5/17/21**

**San Gabriel Pomona Regional Center Positive COVID-19 Cases 2020/2021**

**SG/PRC TOTAL COVID-19 CASES 2020/2021**



**San Gabriel Pomona Regional Center Positive COVID-19 Cases 2020/2021**



**COVID-19 Deaths of Individuals Served**

***2020 Total Deaths	28
<b>2021</b>	
January	19
February	10
March	1
April	0
<b>2020/2021 TOTAL</b>	<b>58</b>

**Los Angeles County Public Health Data**

Total Cases	1,237,235
Current Hospitalizations	347 (29% - ICU Capacity)
Total Deaths	24,088
7 Day Daily Testing Average	59,999
Positivity Rate	0.6%
New Cases per 100,000	1.4 total daily
<b>SG/PRC SERVICE AREA HOTSPOTS / TOTAL CASES</b>	
Pomona	24,291
El Monte	17,169
Baldwin Park	13,121
West Covina	12,867

**Los Angeles County Tier Assignment**

<b>WIDESPREAD</b> Most non-essential indoor business operations are closed.	<b>More than 7</b> Daily new cases (per 100k)	<b>More than 8%</b> Positive tests
<b>SUBSTANTIAL</b> Some non-essential indoor business operations are closed.	<b>4-7</b> Daily new cases (per 100k)	<b>5-8%</b> Positive tests
<b>MODERATE</b> Some business operations are open with modifications.	<b>1-3.9</b> Daily new cases (per 100k)	<b>2-4.9%</b> Positive tests
<b>MINIMAL</b> Most business operations are open with modifications.	<b>Less than 1</b> Daily new cases (per 100k)	<b>Less than 2%</b> Positive tests



**SAN GABRIEL/POMONA**  
REGIONAL CENTER

# COVID-19 TESTING

**FREE TESTING  
OFFERED TO INDIVIDUALS  
WE SUPPORT, THEIR FAMILIES,  
VENDORS & SG/PRC STAFF**

**Testing Available on  
Wednesdays from 9 to 11 a.m.**

**Registration is  
Highly Encouraged**

**Testing Site:**

**San Gabriel/Pomona  
Regional Center  
75 Rancho Camino Drive**

**TO REGISTER,  
PLEASE CLICK HERE**

Brought to you by SG/PRC in  
partnership with the following:

[https://home.color.com/covid/  
sign-up/start?partner=cdph681](https://home.color.com/covid/sign-up/start?partner=cdph681)



**Valencia Branch  
Laboratory**

**color**



**For questions, email us at  
[covidtesting@sgprc.org](mailto:covidtesting@sgprc.org)**



**SAN GABRIEL/POMONA**  
REGIONAL CENTER

# PRUEBAS PARA EL COVID-19

**SE OFRECEN PRUEBAS GRATUITAS PARA LOS INDIVIDUOS QUE APOYAMOS Y A SUS FAMILIAS, LOS PROVEEDORES DE SERVICIO Y LOS EMPLEADOS DEL SG/PRC**

**Habrán citas disponibles cada Miércoles de 9 a 11 a.m.**

**Se le sugiere que se registren con anticipación**

**Sitio:**

**San Gabriel/Pomona  
Regional Center  
75 Rancho Camino Drive  
Pomona, CA 91766**

**Regístrese aquí**

Este servicio es posible por medio de SG/PRC y los siguientes colaboradores

<https://home.color.com/covid/sign-up/start?partner=cdph681>



Valencia Branch  
Laboratory

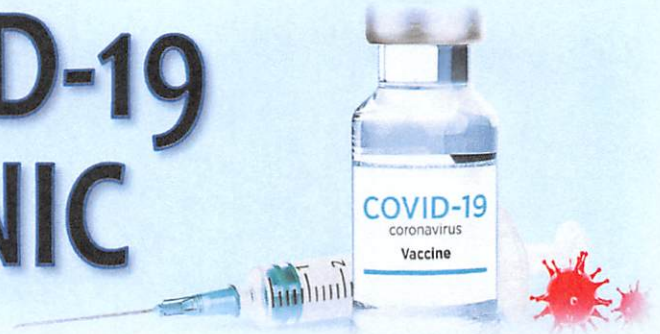
**color**



Para preguntas, puede mandarnos un correo electrónico a [covidtesting@sgprc.org](mailto:covidtesting@sgprc.org)



# SG/PRC COVID-19 VACCINE CLINIC



San Gabriel/Pomona Regional Center is excited to announce another COVID-19 vaccine clinic open to the community.

**Thursday, May 27, 2021**  
**8:30 a.m. to 1:30 p.m.**

Appointments are available to anyone 12 years & older.

Vaccine Clinic will be offering the Pfizer vaccine.

**Please Note:**

Minors must be accompanied by a parent or guardian.

Must provide a passport or birth certificate to verify age.



To be held at:  
San Gabriel/Pomona  
Regional Center  
75 Rancho Camino Drive  
Pomona, CA 91766

To secure an appointment,  
please click here:

[https://www.caprepmod.org//  
appointment/en/reg/6103040972](https://www.caprepmod.org//appointment/en/reg/6103040972)

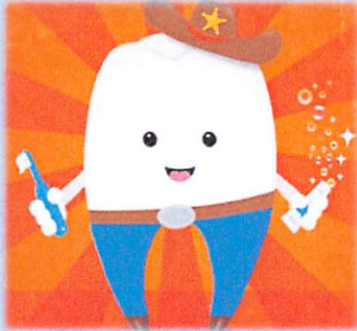




# SG/PRC DENTAL CLINIC

## BEST IN THE WEST DENTAL CLINIC PROGRAM

Currently, SGPRC is the only regional center offering this type of dental clinic for individuals with developmental disabilities.



Please contact Service Coordinator for referrals to Dental Coordinator to schedule an appointment.

- Held monthly prior to the pandemic ....but during pandemic, clinic is held every other month outdoors observing safety protocols
- Dental Clinic runs with two Dentists and other dental volunteers to help throughout the day with dental screenings, comprehensive examinations, x-rays, oral hygiene instructions and appropriate referrals.
- Dental Clinic is non threatening, “event like” and very educational & fun.
- Board Certified Behavior Analysts assess for dental desensitization and provide information on how to address challenges in the home with better dental care (collaborating with individual’s in-home ABA program, if any).
- Modalities for care and treatment options are discussed.

**Are you a service provider that needs to do a staff training on Oral Health Care? Email Christina Macasaet, Dental Health Coordinator at [cmacasaet@sgprc.org](mailto:cmacasaet@sgprc.org) for more information.**

**Next Clinic is scheduled to take place on Saturday May 22, 2021**







SOUTHERN CALIFORNIA

**COVID-19 ~ Special Bulletin****May 5, 2021****❖ COVID-19-Related Tax Credits Under the American Rescue Plan 2021**

The Internal Revenue Service and the Treasury Department announced further details of tax credits available under the American Rescue Plan.

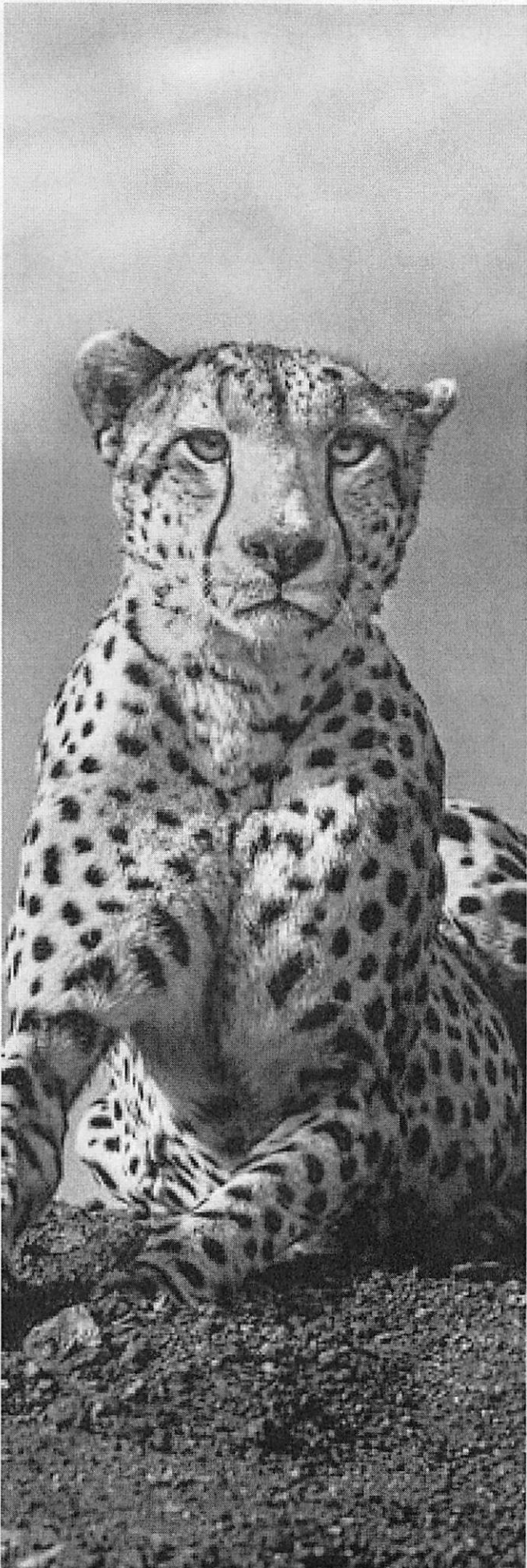
The American Rescue Plan Act of 2021, enacted March 11, 2021, amended and extended the tax credits and the availability of advance payments of the tax credits for paid sick and family leave for wages paid with respect to the period beginning April 1, 2021, and ending on September 30, 2021.

Eligible employers, can claim refundable tax credits that reimburse them for the cost of providing paid sick and family leave to their employees due to illness, quarantine, vaccination or caregiving due to COVID-19.

Under the new law, **businesses can take dollar-for-dollar tax credits** equal to qualified sick leave wages if they offer paid leave to employees who are unable to work or telework due to COVID-19. For example, if an eligible employer offers employees a paid day off in order to get vaccinated, the employer can receive a tax credit equal to the wages paid to employees for that day up to certain limits.

These credits are meant to encourage business owners to offer paid sick and family leave related to COVID-19. Paid leave is intended to help keep the virus under control by encouraging employees to stay home if they are sick and to get vaccinated.

We have outlined the most important portions of below along with answers to the most common questions.





## What did the American Rescue Plan Act of 2021 amend/extend?

The American Rescue Plan Act expanded and extended the opportunity for employers to claim tax credits for paid leave under the framework of the expired Families First Coronavirus Response Act (FFCRA).

Eligible employers are entitled to tax credits for wages paid for leave taken by employees who are not able to work or telework due to reasons related to COVID-19, including leave taken to receive COVID-19 vaccinations or to recover from any injury, disability, illness or condition related to the vaccinations.

## Who is eligible for the tax credits?

- Eligible employers include any business, including a tax-exempt organization, with fewer than 500 employees;
- Certain governmental employers, and
- Self-employed individuals are eligible for similar tax credits

## What period does this tax credit cover?

- The tax credits are available to eligible employers that pay sick and family leave for leave from April 1, 2021, through Sept. 30, 2021.

## Where are these tax credits applied?

- The paid leave credits are tax credits against the employer's share of the **Medicare tax**.
- The tax credits are **refundable**, which means that the employer is entitled to payment of the full amount of the credits if it exceeds the employer's share of the Medicare tax.

## What makes the credits "fully refundable"?

- The credits are fully refundable because the eligible Employer may get a refund if the amount of the credits is more than certain federal employment taxes the eligible Employer owes.
- Meaning if for any calendar quarter the amount of the credits the Eligible Employer is entitled to exceeds the employer portion of the social security tax on all wages or the employer portion of the social security tax and Medicare tax on all compensation for employers paid to all employees, then the excess is treated as an overpayment and refunded to the eligible Employer.
  - Under section 6402(a) or 6413(b) of the Internal Revenue Code.

## What is the amount of the tax credits and how they are calculated?

- **Sick Leave:** The tax credit for paid sick leave wages is equal to the sick leave wages paid for COVID-19 related reasons for up to two weeks (80 hours), limited to \$511 per day and \$5,110 in the aggregate, at 100 percent of the employee's regular rate of pay.
- **Family Leave:** The tax credit for paid family leave wages is equal to the family leave wages paid for up to twelve weeks, limited to \$200 per day and \$12,000 in the aggregate, at 2/3rds of the employee's regular rate of pay.
- The amount of these tax credits is increased by allocable health plan expenses and contributions for certain collectively bargained benefits, as well as the employer's share of social security and Medicare taxes paid on the wages. There are some caps, please make sure to discuss with your tax professional.

## How does an eligible employer claim the credit?

- Eligible employers report their total paid sick and family leave wages (plus the eligible health plan expenses and collectively bargained contributions and the eligible employer's share of social security and Medicare taxes on the paid leave wages) for each quarter on their federal employment tax return, usually Form 941, Employer's Quarterly Federal Tax Return.
  - Form 941 is used by most employers to report income tax and social security and Medicare taxes withheld from employee wages, as well as the employer's own share of social security and Medicare taxes.
- In anticipation of claiming the credits on the Form 941, eligible employers can keep the federal employment taxes that they otherwise would have deposited, including federal income tax withheld from employees, the employees' share of social security and Medicare taxes and the eligible employer's share of social security and Medicare taxes with respect to all employees up to the amount of credit for which they are eligible.
- If an eligible employer does not have enough federal employment taxes set aside for deposit to cover amounts provided as paid sick and family leave wages (plus the eligible health plan expenses and collectively bargained contributions and the eligible employer's share of social security and Medicare taxes on the paid leave wages), the eligible employer may request an advance of the credits by filing Form 7200, Advance Payment of Employer Credits Due to COVID-19.
  - The eligible employer will account for the amounts received as an advance when it files its Form 941, Employer's Quarterly Federal Tax Return, for the relevant quarter.

## May an Eligible Employer receive both the tax credits for qualified leave wages under the FFCRA and the Employee Retention Credit under the Coronavirus Aid, Relief, and Economic Security Act (CARES Act)?

- Yes, if an eligible Employer also meets the requirements for the employee retention credit, it may receive both credits, but not for the same wage payments.
- Section 2301 of the CARES Act allows certain employers subject to a full or partial closure order due to COVID-19 or experiencing a significant decline in gross receipts a tax credit for retaining their employees.
- **The qualified wages for the employee retention credit do not include the amount of qualified leave wages for which the employer received tax credits under the FFCRA.**
- For more information on the Employee Retention Credit, see FAQs: [FAQs: Employee Retention Credit under the CARES Act](#).

## May an Eligible Employer receive both the tax credits for qualified leave wages under the FFCRA and a Small Business Interruption Loan (Paycheck Protection Program) under the CARES Act?

- Yes.
- However, if an Eligible Employer receives tax credits for qualified leave wages, those wages are not eligible as "payroll costs" for purposes of receiving loan forgiveness under section 1106 of the CARES Act..

### **What about the Employee Retention Tax Credit was it also extended?**

- Yes.
- Under the recently enacted American Rescue Plan Act and previously under the Consolidated Appropriations Act, 2021, the employee retention credit, a provision of the CARES Act, is **extended and expanded**.
- **It can be claimed through Dec. 31, 2021** to eligible employers who retained employees during the COVID-19 pandemic.

### **What is the Employee Retention Tax Credit?**

- It is a refundable credit that businesses can claim on qualified wages, including certain health insurance costs, paid to employees.

### **How does the credit work under the different Acts?**

- **CARES Act – 2020**
  - For employers who qualify, including borrowers who took a loan under the initial PPP, the credit can be claimed against 50 percent of qualified wages paid, up to \$10,000 per employee annually for wages paid between March 13 and Dec. 31, 2020.
- **Consolidated Appropriations Act – 2021**
  - Employers who qualify, including PPP recipients, can claim a credit against 70% of qualified wages paid. Additionally, the amount of wages that qualifies for the credit is now \$10,000 per employee per quarter for the first two quarters of 2021.
- **American Rescue Plan Act – 2021**
  - The credit remains at 70% of qualified wages up to a \$10,000 limit per quarter so a maximum of \$7,000 per employee per quarter for all of 2021.
  - Therefore, an employer could claim \$7,000 per quarter per employee or up to \$28,000 for 2021. However, under this law, certain startup businesses — those started after Feb. 15, 2020 that were forced to shut down due to government order — may be allowed a credit of up to \$50,000 per quarter.

***As always, Brown & Brown continues to monitor developments in response to COVID-19 matters and continues its commitment of providing clients with the most up to date information. This Newsletter provides an overview of current evolving issues surrounding COVID-19; however, it is not intended to be, and should not be construed as, legal or medical advice for any particular situation.***

**DEPARTMENT OF DEVELOPMENTAL SERVICES**

1600 NINTH STREET, Room 240, MS 2-13  
 SACRAMENTO, CA 95814  
 TTY: 711  
 (916) 654-1897



May 5, 2021

TO: REGIONAL CENTER EXECUTIVE DIRECTORS

SUBJECT: DEPARTMENT DIRECTIVE 01-050521: EXTENSION OF WAIVERS,  
 MODIFICATIONS AND DIRECTIVES DUE TO COVID-19

Pursuant to Governor Gavin Newsom's Proclamation of a State of Emergency dated March 4, 2020, and Governor Newsom's Executive Order N-25-20 issued on March 12, 2020, the Director of the Department of Developmental Services (Department) issued numerous Directives to regional centers waiving or modifying certain requirements of the Lanterman Developmental Disabilities Services Act, the California Early Intervention Services Act, and/or certain provisions of Title 17, Division 2 of the California Code of Regulations. Additionally, the Director of the Department issued several Directives pursuant to Welfare and Institutions (W&I) Code section 4639.6 to protect consumer rights, health, safety, or welfare, or in accordance with W&I Code section 4434.

Any waivers, modifications or directives contained in the following Directives are extended an additional 30 days from the current date of expiration, and specified sections within certain Directives are amended, as follows:

Date Issued	Directive Subject	Current Expiration Date	New Expiration Date
3/12/2020	<a href="#">Department Directive on Requirements Waived due to COVID-19</a>	5/6/2021	6/5/2021
3/18/2020	<p><a href="#">Department Directive on Requirements Waived due to COVID-19 and Additional Guidance</a></p> <p><i>Amendments to Directive (in order by most recent amendment)</i></p> <ul style="list-style-type: none"> <li><i>The following sentence under section "Day Program Services" is hereby amended to read: "<del>To protect public health and slow the rate of transmission of COVID-19, services must be provided in alignment with the guidance issued by CDPH on March 16, 2020. Day program services must be provided in accordance with local county public health orders and relevant guidelines issued by the California Department of Social Services and/or California Department of Public Health.</del>" (Amendment effective 5/5/2021)</i></li> </ul>	5/12/2021	6/11/2021

**"Building Partnerships, Supporting Choices"**



Regional Center Executive Directors  
 May 5, 2021  
 Page two

Date Issued	Directive Subject	Current Expiration Date	New Expiration Date
	<ul style="list-style-type: none"> <li>• <i>The following paragraph under section "Day Program Services" is hereby amended to read: "The Department reiterates the March 12, 2020, directive to regional centers, "State of Emergency Statewide," authorizing regional centers to pay vendors for absences that are a direct result of the COVID-19 outbreak, pursuant to Title 17 section 54326(a)(11). As indicated in the Department's July 17, 2020, directive, "<a href="#">Providing and Claiming for Nonresidential Services During the State of Emergency</a>," retention payments to nonresidential providers for consumer absences are authorized through August 31, 2020. The Department will issue a directive outlining the structure for subsequent reimbursement of claims for providing nonresidential services using alternative service delivery approaches during the State of Emergency." (Amendment effective 8/10/2020)</i></li> <li>• <i>Effective immediately, section "WIC §4731 Consumers' Rights Complaints" is hereby deleted from this Directive. The 20-working day requirement for investigating and providing a written proposed resolution to a complainant pursuant to W&amp;I Code section 4731(b) is reinstated. (Amendment effective 7/15/2020)</i></li> <li>• <i>The following sentence under section "Home and Community-Based Services (HCBS) Self Assessments" is hereby amended to read: "The requested completion date for provider HCBS self-assessment has been extended to <del>June 30, 2020</del> August 31, 2020." (Amendment effective 6/8/2020)</i></li> </ul>		
3/25/2020	<p><a href="#">Department Directive 02-032520: Requirements Waived due to COVID-19</a>  <i>Amendments to Directive (in order by most recent amendment)</i></p> <ul style="list-style-type: none"> <li>• <i>Section "In-Home Respite Workers" will be deleted from this Directive, effective May 31, 2021. (Amendment effective 5/31/2021)</i></li> <li>• <i>The following paragraph under section "In-Home Respite Workers" is hereby amended to read: "To increase available workforce and support consumers and families at home, the Department waives Title 17 section 56792(e)(3)(A) requirements for in-home respite workers to possess first aid and cardiopulmonary resuscitation training prior to employment when the consumer receiving services does not have chronic or presenting health concerns. <u>Training must be obtained within 30 days of starting work.</u>" (Amendment effective 7/15/2020)</i></li> </ul>	5/19/2021	6/18/2021

Regional Center Executive Directors  
 May 5, 2021  
 Page three

Date Issued	Directive Subject	Current Expiration Date	New Expiration Date
3/30/2020	<a href="#">Department Directive 01-033020: Additional Participant-Directed Services</a>	5/24/2021	6/23/2021
4/15/2020	<p><a href="#">Department Directive 01-041520: Requirements Waived due to COVID-19</a>  <u>Amendments to Directive (in order by most recent amendment)</u></p> <ul style="list-style-type: none"> <li>• Section "Residential Facility Payments" will be deleted from this Directive, effective May 1, 2021. (Amendment effective 5/1/2021)</li> <li>• Section "EBSH/CCH Registered Behavior Technician Certification" will be deleted from this Directive, effective January 10, 2021. (Amendment effective 1/10/2021)</li> <li>• The following paragraph under section "Residential Facility Payments" is hereby amended to read: "The Department hereby modifies any requirements of the Lanterman Act or Title 17 regarding payments to a residential facility when a consumer is temporarily absent. If the temporary absence from the facility is for the purpose of preventing or minimizing the risk of exposure to COVID-19 and the regional center is in agreement that the absence is related to this purpose, the regional center shall continue to pay the established rate as long as no other consumer occupies the vacancy or until it is determined the consumer will not return to the facility and the facility retains and continues to pay staff during this time. Any claims made for these absences are subject to audit and review. <u>Regional centers shall report to the Department by December 15, 2020, all current approved payments for temporary absences in accordance with this section. The Department will issue a subsequent communication regarding the process and format for reporting.</u>" (Amendment effective 12/1/2020)</li> <li>• The following paragraph under section "Vendor Fiscal Audits" is hereby amended to read: "The requirements of Article III, Section 9, paragraph (c) of the Department's regional center contract are waived for Fiscal Year 2019-20. <del>To the extent feasible, regional centers shall continue to conduct fiscal audits in accordance with this paragraph.</del>" (Amendment effective 7/15/2020)</li> <li>• The following sentence under section "Home and Community-Based Services (HCBS) Final Rule Compliance Information" is hereby amended to read: "Regional centers shall post this information on their websites by <del>July 1, 2020</del> <u>August 31, 2020.</u>" (Amendment effective 6/8/2020)</li> </ul>	5/10/2021	6/9/2021



Regional Center Executive Directors  
 May 5, 2021  
 Page four

Date Issued	Directive Subject	Current Expiration Date	New Expiration Date
6/15/2020	<a href="#">Department Directive 01-061520: Extension of Early Start Services</a>	5/11/2021	6/10/2021
10/2/2020	<a href="#">Department Directive 01-100220: Waiver of Half-Day Billing Requirements for Day Services</a>	4/30/2021	5/30/2021
11/19/2020	<a href="#">Department Directive 01-111920: Waiver of Self-Determination Program Budget Restrictions for Financial Management Services</a>	5/18/2021	6/17/2021

The extension of time for these Directives continues to be necessary to protect public health or safety and to ensure delivery of services.

All COVID-19 related directives and guidance issued by the Department can be found at: [www.dds.ca.gov/corona-virus-information-and-resources](http://www.dds.ca.gov/corona-virus-information-and-resources).

If you have questions regarding this Directive, please email [DDSC19Directives@dds.ca.gov](mailto:DDSC19Directives@dds.ca.gov).

Sincerely,

*Original Signed by:*

NANCY BARGMANN  
 Director

cc: Regional Center Board Presidents  
 Regional Center Administrators  
 Regional Center Directors of Consumer Services  
 Regional Center Community Services Directors  
 Association of Regional Center Agencies

**DEPARTMENT OF DEVELOPMENTAL SERVICES**

1600 NINTH STREET, Room 240, MS 2-13  
SACRAMENTO, CA 95814  
TTY (916) 654-2054 (For the Hearing Impaired)  
(916) 654-1897



March 12, 2020

**TO: REGIONAL CENTER EXECUTIVE DIRECTORS**

**SUBJECT: DEPARTMENT DIRECTIVE ON REQUIREMENTS WAIVED DUE TO COVID-19**

Pursuant to Governor Gavin Newsom's Proclamation of a State of Emergency dated March 4, 2020, and Governor Newsom's Executive Order N-25-20 issued on March 12, 2020, the Director of the Department of Developmental Services (Department) issues this Directive to regional centers waiving certain requirements of the Lanterman Developmental Disabilities Services Act (Lanterman Act), the California Early Intervention Services Act, and/or certain provisions of Title 17, Division 2 of the California Code of Regulations (Title 17). While COVID-19 remains a low risk for the general population, the Department recognizes there may be instances where regional centers will need flexibility to support individuals at home for their safety and that of the general population.

**Early Start In-Person Meetings**

Any requirements of the California Early Intervention Services Act or Title 17 requiring in-person meetings for determining eligibility or service coordination are hereby waived. To the extent requested by a parent, guardian, or other authorized legal representative of the child due to concern related to exposure to COVID-19, and to the extent the integrity of the intake process is not compromised, a regional center may conduct evaluation and assessment activities and provide Early Start coordination by remote electronic communications, including Skype, Facetime, video conference, or telephone conference. The regional center shall send a follow-up letter to the family, in the family's preferred language, confirming that at the family's request, a virtual or remote visit was conducted in lieu of a face-to-face meeting or visit. The letter shall include contact information for the child's service coordinator and their supervisor. This waiver is necessary because the Department finds that fear of exposure to the COVID-19 virus is causing family members to miss in-person meetings, thereby threatening the delivery of prompt services to children needing Early Start services or coordination.

**Early Start Remote Services**

The requirements of the California Early Intervention Services Act, Title 17, or a child's Individualized Family Service Plan (IFSP) requiring the delivery of services in-person are hereby waived. To the extent requested by a parent, guardian, or other authorized legal representative of the child due to concern related to exposure to COVID-19, a service provided to a child in-person may be provided by remote electronic communications, including Skype, Facetime, video conference, or telephone conference. Prior to the delivery of a service by electronic communications, the service provider must notify the regional center that the family requested and agrees to remote or virtual services in lieu of in-person services. The regional center shall send a follow-up letter to the family, in the family's preferred language, confirming that at the family's request, virtual or remote services will be

**"Building Partnerships, Supporting Choices"**



Regional Center Executive Directors  
March 12, 2020  
Page two

provided in lieu of in-person services. The letter shall include contact information for the child's service coordinator and their supervisor. This waiver is necessary because the Department finds that fear of exposure to COVID-19 is causing family members to miss in-person appointments for services for their children. Providing services to the child through electronic communications ensures that services necessary for the health, development and well-being of the child are delivered.

#### Lanterman Act In-Person Meetings

The requirements of the Lanterman Act requiring in-person attendance of a consumer and/or his or her parent, conservator, or authorized legal representative and a regional center representative at an Individual Program Plan (IPP) meeting or other meeting related to delivery of services are hereby waived. The regional center shall send a follow-up letter to the consumer and/or representative, in the consumer and/or representative's preferred language, confirming that at the consumer's or representative's request, a virtual or remote visit was conducted in lieu of a face-to-face meeting or visit. The letter shall include contact information for the consumer's service coordinator and their supervisor. This waiver is necessary because the Department finds that fear of exposure to COVID-19 is causing consumers and their representatives to miss in-person IPP and other service coordination meetings, thereby threatening the delivery of critical services to consumers.

#### Health and Safety Waiver Exemptions

The Director of the Department delegates to regional center Executive Directors the authority to grant rate adjustments for residential services and/or supplemental services in residential settings, consistent with Welfare and Institutions Code sections 4681.6, 4689.8 and 4691.9, to protect a consumer's health or safety as a result of the outbreak of COVID-19. The waiver will require supplemental reporting to the Department. Instructions on the required supplemental reporting will be provided in a future directive. This delegation is necessary because the Department finds that high risk health conditions and fear of exposure to COVID-19 may cause consumers to forego activities away from their home resulting in a need for additional residential staffing or supplemental services.

This remains in effect for 30 days unless extended by the Director of the Department. If you have any questions regarding this Directive, please contact Brian Winfield at (916) 654-1569.

Sincerely,

*Original Signed by:*

NANCY BARGMANN  
Director

cc: Regional Center Administrators  
Regional Center Directors of Consumer Services  
Regional Center Community Services Directors  
Association of Regional Center Agencies

**DEPARTMENT OF DEVELOPMENTAL SERVICES**

1600 NINTH STREET, Room 240, MS 2-13  
SACRAMENTO, CA 95814  
TTY (916) 654-2054 (For the Hearing Impaired)  
(916) 654-1897



March 18, 2020

TO: REGIONAL CENTER EXECUTIVE DIRECTORS

SUBJECT: DEPARTMENT DIRECTIVE ON REQUIREMENTS WAIVED DUE TO COVID-19 AND ADDITIONAL GUIDANCE

**This supersedes the March 18, 2020, correspondence on this subject.**

Pursuant to Governor Gavin Newsom's Proclamation of a State of Emergency dated March 4, 2020, and Governor Newsom's Executive Order N-25-20 issued on March 12, 2020, the Director of the Department of Developmental Services (Department) issues this Directive to regional centers waiving certain requirements of the Lanterman Developmental Disabilities Services Act (Lanterman Act) and/or certain provisions of Title 17, Division 2 of the California Code of Regulations (Title 17). The Department recognizes that to ensure the health, welfare and safety of regional center consumers and the general population, there may be instances where consumers, regional centers, and service providers will need flexibility to receive and provide services and supports.

The intent of this Directive is to provide regional centers and service providers the greatest flexibility to support consumers and their families. Services to individuals are a priority and regional centers and service providers are working with their consumers and community to identify alternative approaches to support those in greatest need during this unprecedented time.

### **Flexibility in Provision of Services and Supports for Consumers and Providers**

#### **Lanterman Act Remote Services or Alternate Locations**

Any requirements of the Lanterman Act, Title 17, or an Individual Program Plan (IPP) requiring delivery of the services in a specific location or in-person are hereby waived when, due to concern related to exposure to COVID-19, a consumer, parent, guardian, or other authorized legal representative of the consumer requests that one or more of the services listed on the Enclosure be provided in an alternate location or through remote electronic communications, including Skype, Facetime, video conference, or telephone conference.

Prior to, or no later than seven days after, the delivery of a service in an alternate location or by remote electronic communications, the service provider must notify the regional center that the individual requested and/or agrees to either receive services in an alternate location or through remote electronic communication in lieu of in-person services. The regional center shall send a follow-up letter to the individual, in their preferred language, confirming that at their request, services will be provided in an alternate location or through remote electronic communication. The letter shall include contact information for the consumer's service coordinator and their supervisor.

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Regional Center Executive Directors  
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The agreement to provide services in an alternate location or through remote electronic communication shall not change the frequency or duration of any IPP service absent the agreement of the consumer's IPP team.

This waiver is necessary to limit the risk of exposure to COVID-19 and provide individuals with access to services and supports. Providing services and supports in alternate locations or through remote electronic communications enables services and supports necessary for the health, welfare and safety to be delivered.

#### Supplemental Staffing for Residential Providers

Recognizing that residential providers in all licensing categories, including community care facilities, may need supplemental staffing to support consumers remaining at home to mitigate the spread of COVID-19, the Department reiterates the March 12, 2020, delegation to regional center Executive Directors to grant rate adjustments for residential services and/or supplemental services in residential settings, consistent with Welfare and Institutions Code (WIC) sections 4681.6, 4689.8 and 4691.9, to protect a consumer's health or safety as a result of the outbreak of COVID-19. Further, intermediate care facilities, licensed by the California Department of Public Health (CDPH), may be vendored to provide residential supplemental services, as noted above and to the extent that funding for supplemental staffing is not available through the Medi-Cal program.

Regional centers are directed to work with affected providers to expedite vendorization for the new or additional supplemental services (e.g. Supplemental Residential Program Support) appropriate to provide supplemental staffing required as a result of COVID-19.

#### Day Program Services

To protect public health and slow the rate of transmission of COVID-19, services must be provided in alignment with the [guidance issued by CDPH on March 16, 2020](#).

To the extent possible, services may be provided in an alternate location or through remote electronic communications, including Skype, Facetime, video conference, or telephone conference.

The Department reiterates the March 12, 2020, directive to regional centers, "State of Emergency Statewide," authorizing regional centers to pay vendors for absences that are a direct result of the COVID-19 outbreak, pursuant to Title 17 section 54326(a)(11).

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## **Administrative Flexibility for Regional Centers**

### **Public Meetings**

Any requirements of the Lanterman Act, the Department's regional center contract or other requirements to hold in-person public meetings are hereby waived, with the exception of regional center board meetings held pursuant to WIC section 4660, which shall continue to occur in-person or through use of remote electronic communications.

To the extent feasible, attempts should be made to conduct meetings using remote electronic communications, including Skype, Facetime, video conference, or telephone conference options. If remote electronic communications are not feasible, the meeting should be delayed so the public can participate.

The requirement of WIC section 4519.5(e) that regional centers hold public meetings within three months of compiling purchase of service disparities data with the Department, and the requirements of WIC section 4519.5(f) and the Department's contracts with regional centers requiring submission of a report to the Department regarding the meetings and recommendations by May 31, 2020, are waived. Regional centers shall hold their public meetings by August 31, 2020, and submit associated reports to the Department by December 31, 2020.

This waiver is necessary because the Department finds that gatherings may contribute to the spread of COVID-19. However, limiting gatherings may result in less attendance at public meetings. Regional center public meetings should be in alignment with CDPH's March 16, 2020, guidance on gatherings as referenced on page two, "Day Program Services."

### **WIC §4731 Consumers' Rights Complaints**

The 20-working day requirement for investigating and providing a written proposed resolution to a complainant pursuant to WIC section 4731(b) is waived. The regional center director shall investigate and send a written proposed resolution to a complainant and service provider, if applicable, as soon as possible within 40 working days of receiving the complaint.

This waiver is necessary to allow regional centers flexibility to prioritize work associated with COVID-19 response.



Regional Center Executive Directors  
March 18, 2020  
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### **Additional Areas of Relief**

#### Departmental Audits and Programmatic Monitoring Reviews

Any on-site Departmental fiscal audits or programmatic monitoring reviews not directly related to the oversight of health, welfare and safety of consumers, and scheduled within forty-five days of the date of this letter are postponed. The Department will determine the feasibility of using remote electronic communications to complete some monitoring activities on a case-by-case basis.

#### Home and Community-Based Services (HCBS) Self Assessments

The requested completion date for provider HCBS self-assessment has been extended to June 30, 2020.

#### Direct Support Professional Training

The Department is temporarily placing the Direct Support Professional Training (DSPT) on hold until June 30, 2020. DSPT is required by WIC section 4695.2(a). Direct support professionals are encouraged to use online resources posted on the Department's website at <https://www.dds.ca.gov/services/dspt>, to keep abreast with current practices and procedures to provide the best care to consumers.

#### Frequently Asked Questions (FAQs)

The Department will post answers to frequently asked questions related to COVID-19 directives online at <https://www.dds.ca.gov/corona-virus-information-and-resources>.

This Directive remains in effect for 30 days unless extended by the Director of the Department. Consumers, family members or providers should contact their local regional center with any questions regarding this Directive. Questions from regional centers should be directed to Brian Winfield at (916) 654-1569 or [brian.winfield@dds.ca.gov](mailto:brian.winfield@dds.ca.gov).

Sincerely,

*Original Signed by:*

NANCY BARGMANN  
Director

Enclosure

cc: Regional Center Board Presidents  
Regional Center Administrators  
Regional Center Directors of Consumer Services  
Regional Center Community Services Directors  
Association of Regional Center Agencies

Enclosure

**Services Eligible for Alternate Location or Remote Access**

<b>Service Code</b>	<b>Description</b>
017	Crisis Team
028	Socialization Training Program
048	Client/Parent Support Behavior Intervention Training
055	Community Integration Training Program
062	Personal Assistance
063	Community Activities Support Service
091	In-Home Day Program
094	Creative Arts Program
102	Individual or Family Training Services
106	Specialized Recreational Therapy
108	Parenting Support Services
110	Supplemental Day Program Support
115	Specialized Therapeutic Services (Age 3-20)
116	Early Start Specialized Therapeutic Services
117	Specialized Therapeutic Services (Age 21+)
505	Activity Center
510	Adult Development Center
515	Behavior Management Program
520	Independent Living Program
525	Social Recreation Program
605	Adaptive Skills Trainer
612	Behavior Analyst
613	Associate Behavior Analyst
615	Behavior Management Assistant
616	Behavior Management Technician
620	Behavior Management Consultant
625	Counseling Services
635	Independent Living Specialist
691	Art Therapist
692	Dance Therapist
693	Music Therapist
694	Recreational Therapist
707	Speech Pathology
772	Physical Therapy
773	Occupational Therapy
780	Psychiatrist
896	Supported Living Service

ATTACHMENT K

**DEPARTMENT OF DEVELOPMENTAL SERVICES**

1600 NINTH STREET, Room 240, MS 2-13  
SACRAMENTO, CA 95814  
TTY (916) 654-2054 (For the Hearing Impaired)  
(916) 654-1897



June 15, 2020

TO: REGIONAL CENTER EXECUTIVE DIRECTORS

SUBJECT: DEPARTMENT DIRECTIVE 01-061520: EXTENSION OF EARLY START SERVICES

Pursuant to Governor Gavin Newsom's Proclamation of a State of Emergency dated March 4, 2020, and Governor Newsom's Executive Order N-25-20 issued on March 12, 2020, the Director of the Department of Developmental Services (Department) issues this Directive to regional centers waiving certain requirements of the California Early Intervention Services Act (CEISA). The Department recognizes the transition to special education services for children at age three may be delayed as local schools face challenges related to the COVID-19 pandemic.

Any requirements of the CEISA, including Government Code section 95014(a), mandating early intervention services end at age three are hereby waived. This waiver only applies for children who have received Early Start services through regional centers and reached their third birthday during the COVID-19 State of Emergency. Regional centers must continue to work with local schools to transition children to educational services pursuant to existing regulations.

This waiver is necessary to ensure continuity in the delivery of services for children during the COVID-19 pandemic.

This Directive remains in effect for 30 days, unless extended by the Director of the Department. Parents, guardians, and authorized legal representatives should contact their local regional center with any questions regarding this Directive. Questions from regional centers should be directed to Reyna Ambriz at (916) 651-0364 or [reyna.ambriz@dds.ca.gov](mailto:reyna.ambriz@dds.ca.gov).

Sincerely,

*Original Signed by:*

NANCY BARGMANN  
Director

cc: Regional Center Board Presidents  
Regional Center Administrators  
Regional Center Directors of Consumer Services  
Regional Center Community Services Directors  
Association of Regional Center Agencies

**"Building Partnerships, Supporting Choices"**

**DEPARTMENT OF DEVELOPMENTAL SERVICES**

1600 NINTH STREET, Room 240, MS 2-13  
SACRAMENTO, CA 95814  
TTY (916) 654-2054 (For the Hearing Impaired)  
(916) 654-1897



November 19, 2020

TO: REGIONAL CENTER EXECUTIVE DIRECTORS

SUBJECT: DEPARTMENT DIRECTIVE 01-111920: WAIVER OF SELF-DETERMINATION PROGRAM BUDGET RESTRICTIONS FOR FINANCIAL MANAGEMENT SERVICES

Pursuant to Governor Gavin Newsom's Proclamation of a State of Emergency dated March 4, 2020, and under the authority of Governor Newsom's Executive Order N-25-20 issued on March 12, 2020, the Director of the Department of Developmental Services (Department) waives the requirement that the cost of the financial management service is paid by the participant from his or her individual budget as described in Welfare and Institutions Code section 4685.8(c)(1).

This waiver is effective October 1, 2020, and is necessary to allow participants of the Self-Determination Program (SDP) to repurpose waived fees for different and/or additional SDP services during the COVID-19 State of Emergency.

This Directive remains in effect for 30 days unless extended by the Director of the Department. Consumers, family members or providers should contact their local regional center with any questions regarding this Directive. Questions from regional centers should be directed to [sdp@dds.ca.gov](mailto:sdp@dds.ca.gov).

Sincerely,

*Original Signed by:*

NANCY BARGMANN  
Director

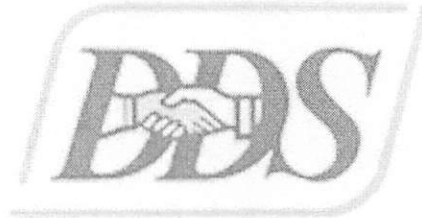
cc: Regional Center Board Presidents  
Regional Center Administrators  
Regional Center Directors of Consumer Services  
Regional Center Community Services Directors  
Association of Regional Center Agencies

**“Building Partnerships, Supporting Choices”**



**Department of Developmental Services**

**2021 May Revision Highlights**



**Gavin Newsom  
Governor  
State of California**

**Mark Ghaly MD, MPH  
Secretary  
California Health and Human Services Agency**

**Nancy Bargmann  
Director  
Department of Developmental Services**

May 2021

**DEPARTMENT OF DEVELOPMENTAL SERVICES  
2021 MAY REVISION HIGHLIGHTS**

The Department of Developmental Services (Department) is responsible for administering the Lanterman Developmental Disabilities Services Act (Lanterman Act). The Lanterman Act provides for the coordination and provision of services and supports to enable people with developmental disabilities to lead more independent, productive, and integrated lives. Additionally, the Early Start Program provides for the delivery of services to infants and toddlers at risk of having a developmental disability. The Department carries out its responsibilities through contracts with 21 community-based, non-profit corporations known as regional centers, as well as through state-operated homes and facilities.

The number of individuals served by regional centers (consumers) is expected to be 352,381 in the current year and increase to 386,431 in fiscal year (FY) 2021-22. In addition, 322 individuals are projected to be served in state-operated facilities as of July 1, 2021. The current year estimate reflects a reduction of 5,438 individuals when compared to Governor's Budget, which is primarily due to a reduction in referrals which coincided with the beginning of the novel coronavirus (COVID-19) pandemic.

The May Revision Estimate continues to support the needs of consumers throughout the public health crisis and reflects the need for increased supports. The pandemic highlighted inequities among underserved communities and the May Revision Estimate proposes new policies to improve service access and equity to regional center services as well as funding for incentives to achieve better service outcomes and consumer experiences.

**2021 MAY REVISION SUMMARY**

The 2021 May Revision updates the Department's FY 2020-21 budget to include \$9.9 billion total funds (TF) (\$5.8 billion General Fund [GF]) and includes \$10.7 billion TF (\$6.6 billion GF) for FY 2021-22; a net increase of \$841.6 million TF (\$774.6 million GF) over the updated FY 2020-21 budget.

In the current year, funds will be reallocated to support concerted efforts to increase outreach activities, eligibility assessments and determinations to reach the caseload levels estimated using pre-COVID-19 caseload trends.

In the budget year, in addition to caseload and utilization updates, the proposed FY 2021-22 funding supports the following:

- **COVID-19 Response (\$257 million TF, \$152.2 million GF):** Projected costs for ongoing response to COVID-19. Funding reflects projected impacts on regional center services as well as resources to support an average of 30 beds combined at Fairview and Porterville Developmental Centers as part of the State's COVID-19 response for individuals with intellectual and developmental disabilities.

- Provider Supplemental Rates and Uniform Holiday Schedule (\$527.6 million TF, \$309.6 million GF): Elimination of the suspension provision for the provider supplemental rates and the Uniform Holiday Schedule. Trailer Bill Language (TBL).
- START Teams (\$5.7 million TF, \$4.0 million GF): The Governor's Budget proposed four additional teams in FY 2021-22; the May Revision proposes funding to support five additional START teams for a total of nine new START teams established in the budget year.
- Emergency Preparedness (\$4.3 million GF, \$200,000 ongoing): Resources to expand emergency preparedness informational materials, provide training and education, provide consumers with emergency go-kits, and enhance availability of back-up batteries and generators for individual's dependent on power for life-sustaining equipment.
- Direct Service Professional Training and Certification (\$4.3 million TF, \$2.9 million GF): Promotes improved consumer access to trained and qualified staff. TBL.
- Bilingual Differential for Direct Service Professionals (\$3.6 million TF, \$2.2 million GF): Funding supports the establishment of a verification process for bilingual competency and provides a differential to increase the availability of staff who are bi/multi-lingual. TBL.
- Competitive Integrated Employment & Paid Internship Program: Proposed change in statute increases the number of incentive payments for longer-term employment, additional incentives to help with COVID-19 recovery, and funding to support paid internship development. TBL only.
- Employment Grant (\$14.7 million TF, \$10.0 million GF): DDS funding to support the expanded cooperative efforts with Department of Rehabilitation to improve the employment rate of individuals with disabilities.
- Self-Determination Ongoing Implementation (\$10.3 million TF, \$6.8 million GF): Funding supports transition support services, improved orientation and training, and participant choice specialists to improve the process for individuals to transition from traditional services to the Self-Determination Program. TBL.
- Additional Resources for Individuals who are Deaf (DDS and regional center funding of \$2.6 million TF, \$1.8 million GF): Spring Finance Letter proposes funding for a headquarters position to provide statewide leadership and subject matter expertise on the provision of services and supports for individuals who are deaf and have intellectual or developmental disabilities. In addition, funding for 21 regional center deaf services specialists to support the expansion of deaf service resources, provide training and expertise to regional center staff, and coordinate with the Department on statewide efforts.
- Tribal Engagement for Early Start Services (\$500,000 GF): Funding for targeted engagement efforts and increase access to services for the Native American population.
- Lanterman Act Provisional Eligibility Ages 3 and 4 (\$23.8 million GF): Funding supports regional center operations as well as purchase of services to provide provisional eligibility for children aged 3 and 4 to reduce the likelihood of children

experiencing delays in receiving services, which can lead to long-term impacts and a need for more intensive services and supports. TBL.

- Implicit Bias Training (\$700,000 TF, \$553,000 GF): Funding prioritized for regional center staff involved in eligibility determinations. TBL.
- Enhanced Service Coordination (\$12.8 million TF, \$10.0 million GF): Funding for service coordination activities to support consumers with low or no purchase of service expenditures.
- Performance Incentives (\$3.7 million TF, \$2.5 million GF): Funding supports initial and ongoing efforts to establish a performance improvement program with fiscal incentives for regional centers to meet specified benchmarks and improvement measures, with an initial focus on reducing caseload ratios. Funding increases to \$87.5 million, \$59.5 million GF in 2022-23. TBL.

### Program Highlights

(Dollars in Thousands)

	FY 2020-21*	FY 2021-22*	Difference
<b>Community Services Program</b>			
Regional Centers	\$9,410,291	\$10,265,357	\$855,066
<b>Total, Community Services</b>	<b>\$9,410,291</b>	<b>\$10,265,357</b>	<b>\$855,066</b>
General Fund	\$5,440,502	\$6,223,905	\$783,403
Program Development Fund	0	204	204
Developmental Disabilities Services Account	150	150	0
Federal Trust Fund	54,279	78,765	24
Reimbursements	3,914,620	3,961,593	46,973
Mental Health Services Fund	740	740	0
<b>State Operated Facilities Program</b>			
Personal Services	\$233,958	\$259,253	\$25,295
Operating Expense & Equipment	129,745	68,137	(61,608)
<b>Total, State Operated Facilities</b>	<b>\$363,703</b>	<b>\$327,390</b>	<b>(\$36,313)</b>
General Fund	\$315,616	\$292,225	(\$23,391)
Lottery Education Fund	126	126	0
Reimbursements	47,961	35,039	(12,922)
<b>Headquarters Support</b>			
Personal Services	\$75,244	\$84,751	\$9,507
Operating Expense & Equipment	32,704	46,081	13,377
<b>Total, Headquarters Support</b>	<b>\$107,948</b>	<b>\$130,832</b>	<b>\$22,884</b>
General Fund	\$65,768	\$80,352	\$14,584
Federal Trust Fund	2,715	2,742	27
Program Development Fund	412	413	1
Reimbursements	500	500	0
Mental Health Services Fund	38,553	46,825	8,272
<b>Total, All Programs</b>	<b>\$9,881,942</b>	<b>\$10,723,579</b>	<b>\$841,637</b>
<b>Total Funding</b>			
General Fund	\$5,821,886	\$6,596,482	\$774,596
Federal Trust Fund	56,994	81,507	24,513
Lottery Education Fund	126	126	0
Program Development Fund	412	617	205
Developmental Disabilities Services Account	150	150	0
Reimbursements	3,963,081	3,997,132	34,051
Mental Health Services Fund	39,293	47,565	8,272
<b>Total, All Funds</b>	<b>\$9,881,942</b>	<b>\$10,723,579</b>	<b>\$841,637</b>
<b>Caseloads</b>			
State Operated Facilities	302	322	20
Regional Centers	352,381	386,431	34,050
<b>Departmental Positions</b>			
State Operated Facilities	1,953.2	1,985.7	32.5
Headquarters	516.0	519.0	3.0

\*The Total Expenditure do not reflect the statewide item for Employee Retention Incentives of \$15.7 million in FY 2020-21. The incentives were added by the 2016 Budget Act and displayed as a Carryover/Re-appropriation in the Governor's Budget Galley.

\*The above figures do not include \$2.7 million deferred maintenance re-appropriation in FY 2021-22.

**COMMUNITY SERVICES PROGRAM****FY 2020-21****Costs and Fund Sources**

The FY 2020-21 updated Regional Center budget includes \$9.4 billion TF (\$5.4 billion GF), a net increase of \$41.7 million TF (\$81.1 million GF decrease) as compared to the Governor's Budget. This includes a projected \$15.3 million TF increase in Purchase of Services (POS) expenditures. The updated budget also includes an increase of \$26.4 million TF in Operations costs.

The FY 2020-21 updated budget reflects a net increase of \$97.7 million TF (\$17.2 million GF decrease), as compared to the Enacted Budget.

<b>Costs and Fund Sources</b> <i>(Dollars in Thousands)</i>			
	<b>Governor's Budget</b>	<b>May Revision</b>	<b>Difference</b>
Operations	\$907,268	\$933,647	\$26,379
Purchase of Services	8,440,225	8,455,547	15,322
Early Start Part C/Other Agency Costs	19,094	19,094	0
Early Start Family Resource Services	2,003	2,003	0
<b>Total Costs</b>	<b>\$9,368,590</b>	<b>\$9,410,291</b>	<b>\$41,701</b>
General Fund (GF)	\$5,521,629	\$5,440,502	(\$81,127)
<i>GF Match</i>	2,767,145	2,865,416	98,271
<i>GF Other</i>	2,754,484	2,575,086	(179,398)
Reimbursements	3,790,927	3,914,620	123,693
Program Development Fund/Parental Fees	837	0	(837)
Developmental Disabilities Services Account	150	150	0
Mental Health Services Fund	740	740	0
Federal Funds	54,307	54,279	(28)
<b>Fund Sources</b>	<b>\$9,368,590</b>	<b>\$9,410,291</b>	<b>\$41,701</b>

**Population**

The updated current year caseload projections reflect a net decrease of 5,438 consumers as compared to the Governor's Budget. The decrease is primarily attributed to a reduction in new consumer referrals and a resulting decrease in caseload beginning with the onset of the COVID-19 pandemic.

<b>Caseload</b>	<b>Governor's Budget</b>	<b>May Revision</b>	<b>Difference</b>
Active (Age 3 & Older)	313,354	311,447	(1,907)
Early Start (Birth through 35 Months)	44,465	40,934	(3,531)
<b>Total Community Caseload</b>	<b>357,819</b>	<b>352,381</b>	<b>(5,438)</b>

Regional Center Operations – Caseload

The May Revision Estimate reflects lower caseload projections, primarily in the Early Start program, compared to the 2020 Budget Act. Given the additional workload associated with the COVID-19 pandemic, including but not limited to increased consumer contacts and coordinating access to vaccinations, funding for regional center operations was not adjusted.

<b>Operations – Caseload</b> (Dollars in Thousands)			
	<b>Governor's Budget</b>	<b>May Revision</b>	<b>Difference</b>
Staffing Expenditures	\$694,577	\$694,577	\$0
Federal Compliance	48,127	48,127	0
Projects	30,915	30,915	0
Intermediate Care Facility-Developmentally Disabled			
Quality Assurance Fees	1,782	1,782	0
<b>Total Operations – Caseload</b>	<b>\$775,401</b>	<b>\$775,401</b>	<b>\$0</b>

Regional Center Operations – Policy

There is a net increase of \$26.4 million TF (\$17.8 million GF) in policy expenditures as compared to the Governor's Budget. This is primarily driven by the following:

- Early Start Recovery Efforts (New Policy): Increase of \$27.0 million TF (\$18.0 million GF) to support increased outreach, assessments and eligibility determinations necessary to return to estimated pre-COVID-19 caseload levels. It should be noted, funding for this item was transferred from Purchase of Services, as reflected in a Budget Revision request.
- Medicaid Claiming Adjustment: Decrease of \$621,000 GF reflects the payment in December 2020 for consumers not eligible for federal reimbursements.

<b>Operations – Policy</b> (Dollars in Thousands)			
	<b>Governor's Budget</b>	<b>May Revision</b>	<b>Difference</b>
Ongoing Operations Policy Items	\$86,866	\$86,866	\$0
DC Closure Ongoing Workload	8,302	8,302	0
Self-Determination Program	4,073	4,073	0
Specialized Caseload Ratio	3,800	3,800	0
Specialized Home Monitoring	6,930	6,930	0
Trauma Informed Services for Foster Youth	1,600	1,600	0
Early Start Recovery Efforts	0	27,000	27,000
Medicaid Claiming Adjustment	20,296	19,675	(621)
<b>Total Operations – Policy</b>	<b>\$131,867</b>	<b>\$158,246</b>	<b>\$26,379</b>



**Purchase of Services (POS) – Caseload**

Updated POS expenditures reflect a net decrease of \$811,000 (\$85.5 million GF decrease) as compared to the Governor's Budget. The minor change in total funds reflects updated expenditures by category and the change in GF expenditures reflects updated estimates of reimbursement funding.

<b>Purchase of Services Caseload (Utilization and Growth) (Dollars in Thousands)</b>				
	<b>Governor's Budget</b>	<b>May Revision</b>	<b>Difference</b>	<b>Percent Change</b>
Community Care Facilities	\$2,175,401	\$2,210,440	\$35,039	1.61%
Medical Facilities	22,744	38,346	15,602	68.60%
Day Programs	1,184,415	1,171,017	(13,398)	(1.13%)
Habilitation Services	140,235	138,547	(1,688)	(1.20%)
<i>Work Activity Program</i>	24,537	24,685	148	0.60%
<i>Supported Employment Program – Group</i>	77,617	78,143	526	0.68%
<i>Supported Employment Program – Individual</i>	38,081	35,719	(2,362)	(6.20%)
Transportation	393,945	325,463	(68,482)	(17.38%)
Support Services	1,849,308	1,870,249	20,941	1.13%
In-Home Respite	810,063	842,221	32,158	3.97%
Out-of-Home Respite	50,463	52,978	2,515	4.98%
Health Care	186,808	192,363	5,555	2.97%
Miscellaneous Services	596,544	567,502	(29,042)	(4.87%)
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	9,623	9,612	(11)	(0.11%)
<b>Total POS – Caseload</b>	<b>\$7,419,549</b>	<b>\$7,418,738</b>	<b>(\$811)</b>	<b>(0.01%)</b>

**POS – Policy**

There is a net increase of \$16.1 million TF (\$113,000 GF decrease) in policy related expenditures as compared to the Governor's Budget. This is primarily driven by the following

- Medicaid Claiming Adjustment: Increase of \$2.2 million GF reflects the actual payment in December 2020, for consumers not eligible for federal reimbursements.
- Provider Supplemental Rate Increases: Increase of \$16.1 million TF (\$10.2 million GF) based on updated expenditure data.
- Uniform Holiday Schedule: Decrease of \$2.4 million TF (\$1.7 million GF decrease) based on updated expenditure data.
- Health Facility Rate Increase: Increase of \$221,000 GF based on updated expenditure data.

<b>Purchase of Services – Policy</b> <i>(Dollars in Thousands)</i>			
	<b>Governor's Budget</b>	<b>May Revision</b>	<b>Difference</b>
Ongoing Purchase of Services Policy Items	\$46,000	\$46,000	\$0
EBSH with DESP	7,500	7,500	0
Electronic Visit Verification Penalty	5,219	5,219	0
Provider Supplemental Rate Increases	436,669	452,799	16,130
SB 3 Minimum Wage Increase Effective January 1, 2021	93,619	93,619	0
Uniform Holiday Schedule	52,639	50,200	(2,439)
Increased Costs for COVID-19	170,000	170,000	0
Health Facility Rate Increase	673	894	221
Youth Returning from Out-of-State Foster Care	900	900	0
Medicaid Claiming Adjustment	207,457	209,678	2,221
<b>Total POS – Policy</b>	<b>\$1,020,676</b>	<b>\$1,036,809</b>	<b>\$16,133</b>

Reimbursements

The updated current year includes a net increase of \$123.7 million in reimbursements as compared to the Governor's Budget. Adjustments are reflected in the table below:

<b>Reimbursements</b> (Dollars in Thousands)			
	<b>Governor's Budget</b>	<b>May Revision</b>	<b>Difference</b>
Home and Community-Based Services (HCBS) Waiver	\$2,682,158	\$2,795,340	\$113,182
HCBS Waiver Administration	17,390	17,316	(74)
Medicaid Administration	18,168	18,168	0
Targeted Case Management	253,302	262,670	9,368
Title XX Block Grant	213,421	213,421	0
(1) Social Services	136,264	136,264	0
(2) Temporary Assistance for Needy Families	77,157	77,157	0
Intermediate Care Facility-Developmentally Disabled State Plan Amendment	65,901	65,836	(65)
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	10,514	10,503	(11)
1915(i) State Plan Amendment	483,458	487,919	4,461
Early Periodic Screening Diagnosis and Treatment	28,299	25,192	(3,107)
Behavioral Health Treatment Fee-for-Service	13,492	13,492	0
Self-Determination Program Waiver	4,824	4,763	(61)
<b>Total Reimbursements</b>	<b>\$3,790,927</b>	<b>\$3,914,620</b>	<b>\$123,693</b>

Federal Funds

The updated current year includes a net decrease of \$28,000 in Federal Funds as compared to the Governor's Budget as reflected below:

<b>Federal Funds</b> (Dollars in Thousands)			
	<b>Governor's Budget</b>	<b>May Revision</b>	<b>Difference</b>
Early Start Part C/Other Agency Costs	\$53,106	\$53,106	\$0
Foster Grandparent Program	1,201	1,173	(28)
<b>Total Federal Funds</b>	<b>\$54,307</b>	<b>\$54,279</b>	<b>(\$28)</b>

**FY 2021-22****Costs and Fund Sources**

The FY 2021-22 updated Regional Center budget includes \$10.3 billion TF (\$6.2 billion GF), a net increase of \$227.3 million TF (\$115.7 million GF) as compared to the Governor's Budget. This includes a projected \$65.7 million TF increase in Operations costs, and \$137.1 million TF increase in Purchase of Services expenditures.

<b>Costs and Fund Sources</b> (Dollars in Thousands)			
	<b>Governor's Budget</b>	<b>May Revision</b>	<b>Difference</b>
Operations	\$940,594	\$1,006,340	\$65,746
Purchase of Services	9,076,396	9,213,458	137,062
Early Start Part C/Other Agency Costs	19,094	43,556	24,462
Early Start Family Resource Services	2,003	2,003	0
<b>Total Costs</b>	<b>\$10,038,087</b>	<b>\$10,265,357</b>	<b>\$227,270</b>
General Fund (GF)	\$6,108,245	\$6,223,905	\$115,660
<i>GF Match</i>	3,205,542	3,287,160	81,618
<i>GF Other</i>	2,902,703	2,936,745	34,042
Reimbursements	3,872,769	3,961,593	88,824
Program Development Fund/Parental Fees	1,876	204	(1,672)
Developmental Disabilities Services Account	150	150	0
Mental Health Services Fund	740	740	0
Federal Funds	54,307	78,765	24,458
<b>Fund Sources</b>	<b>\$10,038,087</b>	<b>\$10,265,357</b>	<b>\$227,270</b>

**Population**

There is no change in community caseload projection as compared to the Governor's Budget as the Estimate continues to assume a return to typical caseload growth as the state recovers from the public health emergency.

<b>Caseload</b>	<b>Governor's Budget</b>	<b>May Revision</b>	<b>Difference</b>
Active (Age 3 & Older)	332,465	332,465	0
Early Start (Birth through 35 Months)	53,966	53,966	0
<b>Total Community Caseload</b>	<b>386,431</b>	<b>386,431</b>	<b>0</b>

Regional Center Operations – Caseload

The budget year includes \$816.2 million TF (\$570.8 million GF) for regional center operations, an increase of \$395,000 (\$2.9 million GF decrease) as compared to the Governor's Budget.

<b>Operations – Caseload</b> (Dollars in Thousands)			
	<b>Governor's Budget</b>	<b>May Revision</b>	<b>Difference</b>
Staffing Expenditures	\$733,525	\$733,855	\$330
Federal Compliance	48,349	48,349	0
Projects	32,106	32,171	65
Intermediate Care Facility-Developmentally Disabled			
Quality Assurance Fees	1,782	1,782	0
<b>Total Operations – Caseload</b>	<b>\$815,762</b>	<b>\$816,157</b>	<b>\$395</b>

Regional Center Operations – Policy

The budget year includes \$190.2 million TF (\$139.1 million GF) for policies impacting regional center operations, an increase of \$65.4 million TF (\$49.8 million GF) as compared to the Governor's Budget.

- Specialized Home Monitoring: Increase of \$915,000 TF (\$622,000 GF) - Updated for monitoring additional Enhanced Behavioral Support and Community Crisis Homes, STAR, and ARFPSHN facilities.
- START Training: Increase of \$5.7 million TF (\$4.0 million GF) to include five additional START teams.
- Tribal Engagement for Early Start services (New Policy) to conduct engagement and outreach with the Native American population (\$500,000 GF).
- Enhanced service coordination (New Policy) to support consumers with low or no purchase of service expenditures (\$12.8 million TF, \$10.0 million GF).
- Direct Service Professional training and certification (New Policy) to promote improved consumer access to trained and qualified staff (\$4.3 million TF, \$2.9 million GF). TBL.
- Implicit bias training (New Policy) for regional center staff involved in eligibility determinations (\$700,000 TF, \$553,000 GF). TBL.
- Emergency Preparedness (New Policy) resources to expand emergency preparedness informational materials, provide training and education, equip consumers with emergency go-kits, and enhance availability of back-up batteries and generators for individuals dependent on power for life-sustaining equipment (\$4.3 million GF, \$200,000 ongoing).
- Additional Resources for Individuals Who are Deaf (New Policy), funding 21 regional center deaf services specialists to support the expansion of services for individuals who are deaf, provide training and expertise to regional center staff and coordinate with the Department on statewide efforts. (\$2.4 million TF, \$1.6 million GF).



- Employment Grant (\$14.7 million TF, \$10.0 million GF). DDS funding supports the expanded cooperative efforts with the Department of Rehabilitation to improve the employment rate of individuals with disabilities.
- Performance Incentives (\$3.7 million TF, \$2.5 million GF). This funding supports initial and ongoing efforts to establish a performance improvement program with fiscal incentives for regional centers to meet specified benchmarks. TBL.
- Self-Determination Program Ongoing Implementation (New Policy): Increase of \$7.8 million TF (\$5.2 million GF) for participant choice specialists who will be subject matter experts and provide assistance to individuals with timely transition to program participation and provide assistance to regional center staff and service coordinators. TBL.
- Lanterman Act Provisional Eligibility Ages 3 and 4 (New Policy): Increase of \$7.6 million GF. Funding supports regional center staffing needed to provide services for children who meet provisional eligibility criteria. TBL.

<b>Operations – Policy</b> <i>(Dollars in Thousands)</i>			
	<b>Governor's Budget</b>	<b>May Revision</b>	<b>Difference</b>
Ongoing Operations Policy Items	\$86,866	\$86,866	\$0
DC Closure Ongoing Workload	8,302	8,302	0
Self-Determination Program	4,073	4,073	0
Specialized Caseload Ratio	4,200	4,200	0
Specialized Home Monitoring	7,400	8,315	915
Trauma Informed Services for Foster Youth	1,600	1,600	0
START Training	4,540	10,215	5,675
Regional Center Emergency Coordinators	2,017	2,017	0
Community Navigators	5,300	5,300	0
Tribal Engagement for Early Start Services	0	500	500
Enhanced Service Coordination	0	12,800	12,800
DSP Training and Certification	0	4,300	4,300
Implicit Bias Training	0	700	700
Emergency Preparedness	0	4,300	4,300
Additional Resources for Individuals Who Are Deaf	0	2,379	2,379
Employment Grant	0	14,706	14,706
Performance Incentives	0	3,676	3,676
Forensic Diversion	534	534	0
Self Determination Ongoing Implementation	0	7,800	7,800
Lanterman Act Provisional Eligibility Ages 3 and 4	0	7,600	7,600
<b>Total Operations – Policy</b>	<b>\$124,832</b>	<b>\$190,183</b>	<b>\$65,351</b>

Purchase of Services (POS) Caseload

The budget year includes \$8.2 billion TF (\$5.1 billion GF) for purchase of services, an increase of \$211.7 million TF (\$117.0 million GF), or a 2.7 percent increase, as compared to the Governor's Budget. The net increase is primarily due to continued caseload and utilization changes in various budget categories. Note: The impacts of the COVID-19 pandemic on POS expenditures had a significant effect on 'Difference' and 'Percent Change' comparisons to the Governor's Budget displayed below.

<b>Purchase of Services Caseload (Utilization and Growth) (Dollars in Thousands)</b>				
	<b>Governor's Budget</b>	<b>May Revision</b>	<b>Difference</b>	<b>Percent Change</b>
Community Care Facilities	\$2,229,993	\$2,319,185	\$89,192	4.00%
Medical Facilities	22,412	51,741	29,329	130.86%
Day Programs	1,251,147	1,256,003	4,856	0.39%
Habilitation Services	141,241	141,080	(161)	(0.11%)
<i>Work Activity Program</i>	20,281	20,404	123	0.61%
<i>Supported Employment Program – Group</i>	74,064	74,798	734	0.99%
<i>Supported Employment Program – Individual</i>	46,896	45,878	(1,018)	(2.17%)
Transportation	419,210	424,563	5,353	1.28%
Support Services	2,023,618	2,056,833	33,215	1.64%
In-Home Respite	928,188	959,831	31,643	3.41%
Out of Home Respite	57,437	57,525	88	0.15%
Health Care	254,266	251,424	(2,842)	(1.12%)
Miscellaneous Services	639,047	660,090	21,043	3.29%
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	9,623	9,612	(11)	(0.11%)
<b>Total POS – Caseload</b>	<b>\$7,976,182</b>	<b>\$8,187,887</b>	<b>\$211,705</b>	<b>2.65%</b>

**POS – Policy**

The budget year includes \$1.0 billion TF (\$599.6 million GF) for policies impacting regional center purchase of services, a decrease of \$74.6 million TF (\$44.6 million GF) as compared to the Governor's Budget.

- **Electronic Visit Verification Penalty Payment:** Increase of \$4.2 million GF based on updated expenditure data and a later projected implementation date.
- **Provider Supplemental Rate Increase:** Increase of \$16.1 million TF (\$11.7 million GF) based on updated expenditure data.
- **Uniform Holiday Schedule:** Increase of \$917,000 TF (\$818,000 GF) based on updated expenditure data.
- **Costs for COVID-19:** Decrease of \$125.0 million TF based on updated expenditure projections by category.
- **Health Facility Rate Increase:** Increase of \$422,000 GF assuming the rate increase will end on December 31, 2021, per the Department of Health Care Services.
- **Bilingual Differentials for Direct Service Professionals (New Policy):** Increase of \$3.6 million TF (\$2.2 million GF). Funding supports the establishment of a verification process for bilingual competency and provide a differential to increase the availability of staff who are bi/multi-lingual.
- **START Training:** Increase of \$6.4 million TF (\$4.0 million GF) to support and fund the associated services provided by additional START teams.
- **Self-Determination Ongoing Implementation (New Policy):** Increase of \$2.5 million TF (\$1.6 million GF) for intensive transition support services for individuals and their families who need greater assistance in transitioning to the Self-Determination Program.
- **Lanterman Act Provisional Eligibility Ages 3 and 4 (New Policy):** Increase of \$16.2 million GF for services provided to children who meet the proposed provisional eligibility criteria.

<b>Purchase of Services – Policy</b> <i>(Dollars in Thousands)</i>			
	<b>Governor's Budget</b>	<b>May Revision</b>	<b>Difference</b>
Ongoing Purchase of Services Policy Items	\$46,000	\$46,000	\$0
Electronic Visit Verification Penalty	5,822	10,020	4,198
Provider Supplemental Rate Increases	454,634	470,762	16,128
SB 3 Minimum Wage Increase Effective January 1, 2021	198,626	198,626	0
Uniform Holiday Schedule	55,895	56,812	917
Increased Costs for COVID-19	175,000	50,000	(125,000)
Health Facility Rate Increase	0	422	422
SB 3 Minimum Wage Increase Effective January 1, 2022	159,237	159,237	0
Youth Returning from Out-of-State Foster Care	1,800	1,800	0
Bilingual Differentials for Direct Service Professionals	0	3,600	3,600
Forensic Diversion	3,200	3,200	0
START Training	0	6,392	6,392
Self Determination Ongoing Implementation	0	2,500	2,500
Lanterman Act Provisional Eligibility Ages 3 and 4	0	16,200	16,200
<b>Total POS – Policy</b>	<b>\$1,100,214</b>	<b>\$1,025,571</b>	<b>(\$74,643)</b>

Reimbursements

The budget year includes \$4.0 billion in reimbursements, an increase of \$88.8 million as compared to the Governor's Budget. Adjustments are reflected in the table below and include the impact of assumed December 31, 2021 suspension of the temporary 6.2 percent enhanced Federal Medical Assistance Percentage (FMAP) authorized by the Families First Coronavirus Response Act.

<b>Reimbursements</b> (Dollars in Thousands)			
	<b>Governor's Budget</b>	<b>May Revision</b>	<b>Difference</b>
Home and Community-Based Services (HCBS) Waiver	\$2,767,125	\$2,805,082	\$37,957
HCBS Waiver Administration	19,903	21,514	1,611
Medicaid Administration	18,168	18,168	0
Targeted Case Management	251,204	268,746	17,542
Title XX Block Grant	213,421	213,421	0
(1) Social Services	136,264	136,264	0
(2) Temporary Assistance for Needy Families	77,157	77,157	0
Intermediate Care Facility-Developmentally Disabled State Plan Amendment	62,266	62,207	(59)
Intermediate Care Facility-Developmentally Disabled Quality Assurance Fees	10,514	10,503	(11)
1915(i) State Plan Amendment	466,268	497,281	31,013
Early Periodic Screening Diagnosis and Treatment	38,117	31,946	(6,171)
Behavioral Health Treatment Fee-for-Service	13,492	13,492	0
Self-Determination Program Waiver	12,291	19,233	6,942
<b>Total Reimbursements</b>	<b>\$3,872,769</b>	<b>\$3,961,593</b>	<b>\$88,824</b>

Federal Funds

The budget year includes \$78.8 million in Federal Funds, a net increase of \$24.5 million as compared to the Governor's Budget. The increase reflects the estimated American Rescue Plan IDEA Supplemental Grant Allocations.

<b>Federal Funds</b> (Dollars in Thousands)			
	<b>Governor's Budget</b>	<b>May Revision</b>	<b>Difference</b>
Early Start Part C/Other Agency Costs	\$53,106	\$77,625	\$24,519
Foster Grandparent Program	1,201	1,140	(61)
<b>Total Federal Funds</b>	<b>\$54,307</b>	<b>\$78,765</b>	<b>\$24,458</b>



**STATE OPERATED FACILITIES****FY 2020-21**

The 2021 May Revision reflects \$363.7 million TF (\$315.6 million GF), reflects no change from the Governor's Budget.

<b>Costs and Fund Sources</b> (Dollars in Thousands)			
	<b>Governor's Budget</b>	<b>May Revision</b>	<b>Difference</b>
Personal Services	\$233,958	\$233,958	\$0
Operating Expenses and Equipment	121,265	121,265	0
Lease Revenue Bond	8,480	8,480	0
<b>Total Costs</b>	<b>\$363,703</b>	<b>\$363,703</b>	<b>\$0</b>
General Fund (GF)	\$315,616	\$315,616	\$0
<i>GF Match</i>	35,416	35,415	0
<i>GF Other</i>	280,200	280,200	0
Reimbursements	47,961	47,961	0
Lottery Fund	126	126	0
<b>Fund Sources</b>	<b>\$363,703</b>	<b>\$363,703</b>	<b>\$0</b>

**FY 2021-22**

The FY 2021-22 reflects \$327.4 million TF (\$292.2 million GF), is a net decrease of \$16.7 million GF as compared to the Governor's Budget due to the adjustments in funding for COVID-19 response at the Fairview and Porterville facilities and deferred maintenance funding.

<b>Costs and Fund Sources</b> (Dollars in Thousands)			
	<b>Governor's Budget</b>	<b>May Revision</b>	<b>Difference</b>
Personal Services	\$259,253	\$259,253	\$0
Operating Expenses and Equipment	75,707	58,986	(16,721)
Lease Revenue Bond	9,151	9,151	0
<b>Total Costs</b>	<b>\$344,111</b>	<b>\$327,390</b>	<b>(\$16,721)</b>
General Fund (GF)	\$308,946	\$292,225	(\$16,721)
<i>GF Match</i>	25,471	25,471	0
<i>GF Other</i>	283,475	266,754	(16,721)
Reimbursements	35,039	35,039	0
Lottery Fund	126	126	0
<b>Fund Sources</b>	<b>\$344,111</b>	<b>\$327,390</b>	<b>(\$16,721)</b>

**Policy**

There is a decrease of \$16.7 million GF in policy expenditures as compared to Governor's Budget.

- COVID-19 Response: A net decrease of \$21.7 million GF associated with a reduction in the projected costs to support consumers diagnosed with, exposed to, or at high risk of COVID-19.
- Deferred Maintenance: A net increase of \$5.0 million GF for deferred maintenance projects.

**Capital Outlay**

The May Revision includes an increase of \$4.1 million GF for the construction phase in the Fire Sprinkler System Project at Porterville Developmental Center.

**HEADQUARTERS****FY 2020-21**

The 2021 May Revision reflects \$107.9 million TF (\$65.8 million GF) which is no change from the Governor's Budget.

**FY 2021-22**

The 2021-22 May Revision reflects \$130.8 million TF (\$80.4 million GF), a net increase of \$7.2 million TF (\$6.9 million GF) compared to the Governor's Budget. The net increase is comprised of three spring finance letters (SFL). The changes include:

- Allenby Building Move Phase 3 SFL – Increase of one (1.0) IT Specialist I position and \$147,000 GF on a two-year limited term basis to assist with the information technology support related to onboarding at the Allenby Building. In addition, \$5.1 million GF for rent, decommissioning of the Bateson building, document storage, and equipment necessary for occupancy in the Allenby building.
- Deaf Specialist SFL – Increase of \$197,000 TF (\$158,000 GF) and one (1.0) Career Executive Assignment (CEA), Level A position to provide statewide leadership and subject matter expertise on the provision of services and supports for individuals who are deaf and have intellectual or developmental disabilities.
- Performance Incentives SFL – Increase of \$1.9 million TF (\$1.5 million GF) to fund initial and ongoing efforts to establish a performance improvement program with fiscal incentives for regional centers to meet specified benchmarks and measures, with an initial focus on reducing caseload ratios.

**Assembly Concurrent Resolution**

**No. 41**

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**Introduced by Assembly Member Holden**

March 8, 2021

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Assembly Concurrent Resolution No. 41—Relative to COVID-19 direct support professionals.

LEGISLATIVE COUNSEL'S DIGEST

ACR 41, as introduced, Holden. COVID-19 direct support professionals appreciation.

This measure would recognize the skills and dedication of direct support professionals, and show appreciation for the direct support professionals who have faithfully served Californians with intellectual and developmental disabilities during the COVID-19 public health crisis.

Fiscal committee: no.

- 1 WHEREAS, The COVID-19 pandemic and resulting  
2 stay-at-home orders and other safety measures began one year ago;  
3 and  
4 WHEREAS, March is recognized nationwide as Developmental  
5 Disabilities Awareness Month; and  
6 WHEREAS, More than 350,000 Californians with intellectual  
7 and developmental disabilities (IDD) receive regional center  
8 services; and  
9 WHEREAS, The COVID-19 pandemic has a disproportionate  
10 impact on people with IDD, who are especially vulnerable to  
11 complications and mortality due to the coronavirus; and

1 WHEREAS, During this pandemic, direct support professionals  
2 throughout the state prioritized the health and safety of people with  
3 IDD, often placing the needs of people in their care over their own  
4 personal interests; and

5 WHEREAS, Direct support professionals showed flexibility,  
6 consistently responding to changing public health conditions and  
7 state guidelines for health and safety, while also addressing the  
8 changing needs of the people they serve; and

9 WHEREAS, Direct support professionals were guided by  
10 person-centered philosophy to meet the support needs of people  
11 with IDD during a historic pandemic that changed every aspect of  
12 their life; and

13 WHEREAS, Direct support professionals demonstrated  
14 innovation in creating new programs to safely support individuals  
15 with IDD during the pandemic and mitigate the isolating impact  
16 of health and safety measures; now, therefore, be it

17 *Resolved by the Assembly of the State of California, the Senate*  
18 *thereof concurring*, That the Legislature values the heroism and  
19 commitment of direct support professionals in the service of  
20 Californians with IDD; and be it further

21 *Resolved*, That the Legislature, having acknowledged the rights  
22 of Californians with IDD and the state's responsibility to them  
23 through the Lanterman Developmental Disabilities Services Act,  
24 finds that direct support professionals are essential to carrying out  
25 this responsibility; and be it further

26 *Resolved*, That the Legislature recognizes the skills and  
27 dedication of direct support professionals; and be it further

28 *Resolved*, That the Legislature appreciates all direct support  
29 professionals who have faithfully served Californians with IDD  
30 during the COVID-19 public health crisis; and be it further

31 *Resolved*, That the Chief Clerk of the Assembly transmit copies  
32 of this resolution to the author for appropriate distribution.





May 6, 2021

Contact: Daniel Savino  
(916) 446-7961 or dsavino@arcanet.org

**Regional Centers Serving Californians with Developmental Disabilities Need Increased Funding to Hire Additional Staff to Ensure Delivery of Core Services**

**FOR IMMEDIATE RELEASE**

**Sacramento** — Discussions on the State's upcoming Fiscal Year 2021–21 must include prioritizing additional funding for the 21 regional centers that serve over 350,000 Californians with developmental disabilities. They are the official point of entry to the State's service system. Current State funding directed to the regional centers is insufficient and as a result, statewide, regional centers are short 921 service coordinators. The Association of Regional Centers Agencies (ARCA) urges the Budget Committees to increase funding with an additional ongoing allocation of \$60 million annually.

The 21 regional centers' work begins, for many they serve, with the Early Start program that focuses on early intervention. Depending on a child's needs, this work continues throughout childhood and into adulthood with an array of assessments, referrals, lifelong individual planning and case management, advocacy, vocational support, and much more. Regional centers strive to keep individuals with their families, but they also help with planning placement and monitoring for out-of-home care.

Much of this work is accomplished by service coordinators who manage staggering caseloads, working with families and individuals to provide the support and quality services that enable them to live fully integrated lives. These crucial staff provide a wide range of support that helps those served by the centers to overcome a variety of unique challenges. There is a crisis, however, because there are simply not enough service coordinators to sufficiently meet the growing demands regional centers face.

"The State's budget outlook for the coming fiscal year is vastly improved from where we stood in 2020 and it's time to make new investments that help California's most vulnerable, including people with developmental disabilities. The regional centers rely on significant State funding to connect the people we serve with the comprehensive range of services they require to live full and integrated lives," said Amy Westling, Executive Director, Association of Regional Center Agencies. "Our budget ask would allow short-staffed regional centers to hire more service coordinators who serve as the backbone of the important work we do."

Because regional centers lack adequate State funding, service coordinator salaries are startlingly low and unrealistic. Regional centers are budgeted salaries for service coordinators at

just over \$34,000 annually - only 52 percent of the actual cost of these core staff. The funding formula is extremely outdated and based on a 20-year-old adjustment. ARCA's budget ask of an additional \$60 million allocation would fill the gap and enable regional centers to hire the additional staff needed.

This State funding ask also has broader implications for regional centers because of the interconnectedness of federal funds. Insufficient State funding impacts regional centers' ability to receive federal dollars, which today provide over 40 percent of an average year's funding for the \$10 billion system.

For more information about ARCA and the regional centers, visit [arcanet.org](http://arcanet.org).

###



May 16, 2021

Senator Susan Talamantes Eggman  
Chair, Senate Budget Subcommittee #3 on Health and Human Services

Assemblymember Joaquin Arambula  
Chair, Assembly Committee on Budget, Subcommittee #1 on Health and Human Services

**RE: Department of Developmental Services (4300) – Response to 2021-22 May Revise**

Honorable Senator Talamantes Eggman and Assemblymember Arambula:

The Association of Regional Center Agencies (ARCA) represents the network of 21 regional centers, community-based organizations that serve more than 350,000 Californian children and adults with developmental disabilities. We appreciate the opportunity to comment on the Governor's May Revision Budget for FY 2021-22 for the Department of Developmental Services (DDS).

California is presented with unique opportunities in the coming fiscal year to invest in the future based on what our experience, both historic and over the last year, has demonstrated is important. ARCA is pleased to see the thoughtful investments being proposed to support people with developmental disabilities and their families in the following areas:

- Elimination of funding sunsets that will enhance financial stability for service providers and allow for longer-term financial planning for fundamentally ongoing expenses;
- Enhancing emergency readiness through the expansion of START mobile crisis teams and resources to assist people served by regional centers with tools and information to prepare for a variety of emergencies, including power interruptions;
- Strengthening the Direct Support Professional workforce by offering bilingual differentials and opportunities for career advancement through training and certification that leads to higher wages;
- Enriching employment supports for people served by regional centers through enhancements to the Paid Internship Program and other investments;

- Improving the Self-Determination Program by investing resources needed to smooth out the transition challenges identified following the three-year phase-in period;
- Focusing on priority populations through FY 2020-21 Early Start outreach, support services for those served by regional centers who are deaf, enhancing outreach to tribal nations, provisionally serving 3–4-year-olds to ensure their needs are met during this critical developmental period, improving intake for diverse communities, and targeting greater service coordinator attention to those with low or no paid regional center supports; and,
- Development of a performance improvement program “with an initial focus on reducing caseload ratios,” which once funding for the program increases in FY 2022-23, will allow regional centers to provide additional services coordination support while focusing on key systems outcomes.

These are wise long-term investments that will lay a foundation for California’s developmental services system to better support the people it serves and their families in the coming fiscal year and beyond. We appreciate the Administration’s commitment to advancing the above priorities.

Given the opportunities that this unique year presents, ARCA requests the Legislature advance the following additional priorities to further strengthen services to Californians with developmental disabilities:

- Begin comprehensive rate reform through a meaningful investment in service provider rates to enhance the capacity of the service provider network to pay competitive wages, provide flexible, innovative services, and support the pursuit and attainment of individualized goals and measurably better service outcomes;
- Bridge the service coordination shortfall by fully funding the 921 service coordinator shortfall until implementation of the proposed performance improvement program to allow regional centers to reduce caseload ratios beginning in FY 2021-22, which would provide critical support to people with developmental disabilities and their families as they continue to navigate through the pandemic and identify routines, services, and opportunities to support their choices; and,
- Remove service barriers through developing a structure for local approval of health and safety waivers for needed residential support, elimination of the Annual Family Program Fee and Family Cost Participation Program, allowing for remote planning team meetings for FY 2021-22 with the commitment to identify a permanent structure in the coming year, and simplifying requirements related to accessing generic services.

ARCA appreciates the opportunity to offer the above comments. We look forward to continuing important conversations about working with the Legislature, Administration, and community partners to identify how to best serve this critical population in the coming year and beyond. If you have any questions regarding ARCA's positions on these or other issues, please feel free to reach me at [awestling@arcnet.org](mailto:awestling@arcnet.org) or by phone at (916) 446-7961.

Sincerely,

/s/

Amy Westling

Executive Director

**Cc:** Renita Polk, Consultant, Senate Budget Subcommittee #3 on Health and Human Services  
Nicole Vazquez, Consultant, Assembly Budget Subcommittee #1 on Health and Human Services  
David Stammerjohan, Chief of Staff, Office of Senator Eggman  
Karen Jones, Legislative Aide, Office of Assemblymember Arambula  
Hannah Ackley, Legislative Director, Office of Senator Melendez  
Bernadette Sullivan, Legislative Director, Office of Senator Pan  
Marc Engstrom, Legislative Director, Office of Assemblymember Frazier  
Justin Boman, Legislative Director, Office of Assemblymember Mathis  
Nick Sinclair, Legislative Aide, Office of Assemblymember Patterson  
Jake Donahue, Legislative Director, Office of Assemblymember Ramos  
Daniel Folwarkow, Legislative Aide, Office of Assemblymember Rubio  
Liz Snow, Chief of Staff, Office of Assemblymember Wood  
Rebecca Hamilton, Human Services Fiscal Consultant, Senate Republican Fiscal Office  
Eric Dietz, Consultant, Assembly Republican Caucus  
Alex Khan, Consultant, Assembly Republican Caucus  
Marisa Shea, Principal Consultant, Senate Human Services Committee  
Debra Cooper, Chief Consultant, Assembly Human Services Committee  
Kelsy Castillo, Senior Consultant, Assembly Human Services Committee  
Joe Parra, Principal Consultant on Human Services, Senate Republican Caucus  
Mareva Brown, Policy Consultant, Office of the Senate President Pro Tempore  
Gail Gronert, Policy Consultant, Office of the Speaker  
Myesha Jackson, Policy Director, Office of the Speaker  
Sonja Petek, Fiscal & Policy Analyst, LAO  
Mark Newton, Deputy Legislative Analyst, LAO  
Lauren Babb, Deputy Director, Office of Legislation, Regulations & Public Affairs, Department of  
Developmental Services  
Nancy Bargmann, Director, Department of Developmental Services  
Jennifer Lopez, Assistant Program Budget Manager, Department of Finance  
Steven Pavlov, Principal Program Budget Analyst, Department of Finance  
Christopher Odneal, Finance Budget Analyst, Department of Finance  
Vishaal Pegany, Assistant Secretary, Health and Human Services Agency  
Marko Mijic, Deputy Secretary, Health and Human Services Agency  
Michelle Baass, Undersecretary, Health and Human Services Agency  
Dr. Mark Ghaly, Secretary, Health and Human Services Agency  
Tam Ma, Deputy Legislative Secretary, Office of the Governor





## **The American Rescue Plan for California's IDD Community – Proposals to Enhance, Expand, and Strengthen Services and Supports**

The recently passed American Rescue Plan provides desperately needed funding to California's intellectual and developmental disability community. The Plan provides more than \$40 billion to California, including \$2.7 billion specifically for Home and Community Based Services (HCBS), and must first and foremost serve to support those Californians most impacted by the Pandemic, and second to reverse inequities and chronic underfunding that have been made even more pronounced by the pandemic. Therefore, the Lanterman Coalition urges Governor Newsom and the Legislature to prioritize funds from the American Rescue Plan (including, but not limited to, those funds received through the 10% FMAP increase for HCBS services) to strengthen, enhance, and expand our fragile system of services and supports for people with intellectual and developmental disabilities (IDD).

We propose the following critical investments:

### **Post-COVID Innovations and Transitions**

COVID-19 and its aftermath pose threats to the IDD Community, and presented an opportunity to analyze the entire DDS system and the people it serves. At the heart of wholesale pivots in service delivery was a spirit of innovation and ingenuity. Lesson learned from trials both successful and less so provide an opportunity to inform and promote innovation for the future.

This will inform development of an improved system that promotes person-centeredness, allows for diversity of choice, and raises the levels of achievement, opportunity, and equity for people with Intellectual and Developmental Disabilities. Steps include:

- Fully funding DDS conversion to Person Centered Planning and Thinking. Every regional center client will have a comprehensive person-centered plan compliant with HCBS services and settings.
- Creating a fund dedicated for the purchase of technology for clients and families to aid in the delivery of new online supports, and address inequities exacerbated by the technology divide.
- Greatly expanding funding for HCBS Compliance Grants to aid the state's transition to fully HCBS compliant programs.
- Funding training for health care providers to improve equity of access and quality of services provided to people with IDD (e.g. CART Services at UCSF's Office of Developmental Primary Care).

### **Sustainable Rates & Covid-Related Costs**

Prior to the pandemic many Californians with IDD and their families were already in crisis due to chronic underfunding of services and supports, as confirmed in the DDS rate study (currently

underfunded \$1.4 billion in 2019 dollars). This crisis has underscored the frightening truth that one additional new cost or the mere uncertainty of reimbursement for a single month can easily force an established service provider to layoff significant staff and close programs. Individuals, families, and support staff have lived and operated with this heightened vulnerability, and the stress that accompanies it, for decades. Additionally, service providers have had innumerable costs in response to Covid that have not been reimbursed. Steps to take:

- Fully implement the rate models published in the DDS Rate Study.
- Stabilize DSP workforce by modernizing the rate adjustment mechanism for state minimum wage increases: create a streamlined and more equitable process by providing a standard percentage increase of at least 3.33 percent to all service for each \$1 increase in the state minimum wage to facilitate wage adjustments to the broader direct support workforce and result in increased and fairer wages.
- Provide a one-time reimbursement for all Covid-related costs in the last year that have not been reimbursed (e.g. PPE, testing)

### **Workforce Stabilization & Professionalization**

Recruiting and retaining an adequate pool of frontline care providers / Direct Support Professionals (DSPs) has always been a challenge. When service providers are unable to maintain an adequate number of DSPs, clients can lose access to needed services and waitlists for services are developed. The public health emergency has exacerbated these challenges due to the danger of COVID-19 exposure while continuing to provide direct support to our community. Steps to take:

- Provide a one-time hero/hazard bonus pay for DSPs providing services during COVID-19.
- Develop and fund a DSP training and certification program that is tied to higher wages for DSPs and that includes the DSP/Applied Behavior Analysis Technician (ABAT) career path programs in the California community college system. Developing this system is consistent with the DSP I, II & III certifications outlined in the 2019 DDS Rate Study.
- Invest in and/or reimburse training programs for DSPs/ABATs to adapt to the new modes of service delivery post-Covid and continuing transitions to HCBS compliant services.
- Fund mental and behavioral health supports and services for DSPs struggling with stressors associated with the public health emergency.

### **Employment**

Californians with IDD endure unemployment with a labor force participation rate of less than 20%. This tragedy is a result of both a chronic lack of resources and dated societal expectations. This was exasperated by the pandemic during which many individuals with IDD who were in competitive integrated employment lost their jobs or were unable to continue their employment due to medical or behavioral complications. California must do better by implementing the following steps:

- Greatly expand incentive payments under CIE Incentives (DDS) and DOR Incentives, and develop an innovative and enhanced milestone payment structure to focus on and incentivize job attainment and retention.
- Invest more in the Paid Internship Program (PIP) to get people back to work, and change the program to be based on 1,040 hours (rather than a dollar cap) including all benefits and admin fee.

- Provide resources to conduct a person-centered plan for each individual currently enrolled in a 14c position and invest in alternative employment pathways so that they can transition out of 14c positions into paid positions at minimum wage or higher or other opportunities that meet their aspirations.
- Provide resources and create a certification process to train job coaches and service provider staff in customized employment techniques
- Invest in a CA employment tax credit or subsidy for employers who hire people in the Regional Center or DOR system

### **Service Coordination and Outreach to Diverse Communities**

Regional Center service coordinators have had to quickly adapt to meet the needs of clients and families during the pandemic, and they have had to make these pivots with extremely high caseload ratios that far exceed federal guidance. Furthermore, our system of services and supports continues to struggle to meet the needs of California's diverse communities. Steps to take:

- Fund time-limited demonstration projects to allow regional centers to serve individuals with certain characteristics (e.g., non-English speaking) on enhanced caseloads (1:40) to measure the impact of additional service coordinator time and attention on individual outcomes, such as ability to navigate service systems.
- Increase regional center OPS funding for at least two years to fund greater levels of service coordination to support individuals' transition into the Self-Determination Program.
- Increase proposed spending in the Governor's January budget for Community Navigators at Family Resource Centers.

### **Early Start Outreach**

The pandemic has greatly reduced the number of babies receiving early intervention services in California. We must collectively make a robust and coordinated effort to reach these families before the time of high-impact intervention has passed. Steps to take:

- Fund a statewide outreach and education media campaign to recommend the use of early intervention services.
- Expand the age eligibility for Early Start services.
- Provide temporary incentive-based rate increases to providers.
- Retroactively fund Early Start providers for calendar year 2020 when they did not receive the 8.2% rate increase.

### **Housing**

Housing is one of the greatest challenges facing our state. The crisis is especially dire for people with IDD who often rely on SSI/SSP as their only source of income and frequently encounter accessibility challenges and discrimination. Community providers of Supportive Living Services report it is nearly impossible to secure housing for clients and a glut of stories of individuals who already lost housing due to price increases. A step we can take:

- Invest in a one-time fund dedicated to the development of affordable housing units for people with IDD. Use SB 1118 (Caballero) as the basis for the creation of the fund and launch it with a significant investment.

### **Infrastructure and Transportation**

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## **Lanterman Coalition**

These federal funds allow us to make investments that will create a more sustainable, more efficient, and more modern system for the future. Steps to take:

- Create and fund a grant program for the purchase of new EV vehicles to support community integration and services and supports for regional center clients. Post-Covid and HCBS compliance will require more vehicles to be purchased by providers to minimize exposure and congregation caused by a crowded vehicle. This is the opportunity to make those purchases and align it with California's EV goals.
- Invest in infrastructure improvements at the state level including a new billing system that is not based on DOS as well as (or in conjunction with) a case management system.
- Fund consultation to develop a true outcome-based and performance-based funding system for DDS going forward.

These funding proposals are comprehensive and critical. We stand ready to assist in the development and implementation of these investments, and we urge Governor Newsom and the Legislature to not let this moment pass us by without demonstrating that the needs of California's IDD community during the pandemic and beyond will be met.

The Lanterman Coalition consists of the major stakeholders in California's community based developmental services system. Membership in the coalition requires a commitment to (1) the Preservation of the Lanterman Act and the entitlement [A], (2) no categorical elimination of services, (3) no enrollment caps or waiting lists, (4) no reductions to services and supports important to people with Intellectual and Developmental Disabilities and their families and (5) full support of the community imperative [B] and the Olmstead decision.

[A] It is through the IPP procedure that the right the Act grants to each developmentally disabled person and the obligation it imposes on the state are implemented; through it, the developmentally disabled person on an individual basis receives, as an entitlement, services that enable him to live a more independent and productive life in the community. (ARC v DDS). [B] In fulfillment of fundamental human rights and in securing optimum developmental opportunities, all people, regardless of the severity of their disabilities, are entitled to community living.

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## Lanterman Coalition

(916) 552-6619 | 1225 8th St. Ste. 350, Sacramento CA 95814 | [TheLantermanCoalition.org](http://TheLantermanCoalition.org)



**For materials shared at meetings,  
please go to [www.sgprc.org](http://www.sgprc.org),  
click on the calendar and look for an event by date.  
There you will find a link to the materials for each meeting.**